

National Report on the implementation of the Programme of Action on small arms and light weapons (PoA) and the International Tracing Instrument (ITI)

Ukraine

English

SUBMITTED

Section 1: National coordination infrastructure

Sources	Question	Yes	No	Developing
National Coordination Body/Mechanism				
[PoA II.4]	1.1. Has your country established a National Coordination Body/Mechanism or other body that includes SALW control in its core tasks? [if no, go to 1.2]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	a) Name of body/mechanism:			
	b) Address:			
	c) Contact details:			
	[Mr./Ms.]			
	i) Contact person:			
	ii) Telephone:			
	iii) Email:			
	d) Composition:			
	i) Number of men:			
	ii) Number of women:			
National Point of Contact				
[PoA II.5, 24]	1.2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)? [if no, go to 1.2.3]	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	1.2.1. Details:			
	[Mr./Ms.]			
	a) Name:			
	Directorate General for International Security			
	b) Organization or agency:			
	Ministry of Foreign Affairs of Ukraine			
	c) Address:			

1, Mykhailivska Sq, Kyiv, 01018

d) Telephone:

+38 044 238 17 22

e) Email:

uko@mfa.gov.ua

[ITI 25]	1.2.2. Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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[ITI 25]	1.2.3. If the answer to Question 1.2.2 is 'no', does your country have a National Point of Contact for purposes of exchanging information and liaising on all matters relating to the ITI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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If yes, provide details:

[Mr./Ms.]

a) Name:

b) Organization or agency:

c) Address:

d) Telephone:

e) Email:

National Action Plan

[RevCon3 outcome II.A.5.60]	1.3. Does your country have a National Action Plan on SALW? [possible to upload relevant files in Section 10]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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National targets

1.4. Has your country set national targets relating to the implementation of the PoA and ITI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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1.4.1. If so, describe

Target year:

Section 2: Manufacture

Sources	Question	Yes	No
Laws, regulations and administrative procedures			
[PoA II.2]	2.1. Are there any SALW manufactured in your country? [if no, go to 2.2]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	2.1.1. Does your country have laws, regulations and/or administrative procedures to exercise effective control over the manufacture of SALW? [if no, go to 2.1.2]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	2.1.1.1. List laws, regulations and/or administrative procedures regulating the manufacture of SALW in your country: Criminal Code of Ukraine; Code of Ukraine on Administrative Offences; Law of Ukraine "On Licencing of Types of Economic Activity"; Decree of the Cabinet of Ministers of Ukraine "Regulations on Permit System" № 576; Decree of the Cabinet of Ministers of Ukraine "Regulations on the Order of the Use of Firearms" № 575		
[PoA II.3]	2.1.1.2. Does your country license the manufacture of SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	2.1.1.3. Is illegal manufacture of SALW considered a criminal offence in your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Marking and manufacture			
[PoA II.7: ITI 8a]	2.2. Does your country require that SALW be marked at the time of manufacture? [if no, go to 2.3]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[ITI 8a]	2.2.1. What information is included in the marking (check relevant boxes)?		
	a) Name of the manufacturer	<input type="checkbox"/>	
	b) Country of manufacture	<input type="checkbox"/>	
	c) Serial number	<input checked="" type="checkbox"/>	
	d) Year of manufacture	<input checked="" type="checkbox"/>	
	e) Weapon type/model	<input checked="" type="checkbox"/>	
	f) Caliber	<input type="checkbox"/>	
	g) Other:	<input checked="" type="checkbox"/>	
[ITI 10a]	[if other, please explain] Logo of the manufacturer		
	2.2.2. What part of the SALW is marked? Barrel, receiver, cover of the receiver, plate of the mortar		
	2.2.3. Are there exceptions to the requirement to mark SALW at the time of manufacture?	<input type="checkbox"/>	<input type="checkbox"/>
	2.2.3.1. If so, describe:		

Record-keeping by manufacturers

[PoA II.9: ITI 11]	2.3. Does your country require that manufacturers keep records of their activities? [if no, go to 2.4.4]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[ITI 12a]	2.3.1. What information must be recorded (check relevant boxes)?		
	a) Quantity of SALW manufactured	<input checked="" type="checkbox"/>	
	b) Type or model of SALW manufactured	<input checked="" type="checkbox"/>	
	c) Markings applied to manufactured SALW	<input checked="" type="checkbox"/>	
	d) Transactions (e.g. sales of manufactured and marked SALW)	<input checked="" type="checkbox"/>	
	e) Other:	<input type="checkbox"/>	
	[if other, please explain]		
[ITI 12a]	2.3.2. How long must manufacturing records be kept?		
	30 Years		
	[if other, please explain]		
	Actions taken during the reporting period		
[PoA II.6]	2.4. During the reporting period, was action taken against groups or individuals engaged in illegal manufacturing of SALW (e.g. craft manufacturing)? [if no, go to 2.5]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	2.4.1. Details (e.g. prosecution): [if yes]		
	International assistance		
[PoA III.6]	2.5. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture? [if no, go to 3.1]	<input type="checkbox"/>	<input type="checkbox"/>
	2.5.1. If yes, what kind of assistance do you require?		
	2.5.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10]	<input type="checkbox"/>	<input type="checkbox"/>

Section 3: International transfers

Sources	Question	Yes	No
Laws, regulations and administrative procedures			
[PoA II.2, 12]	3.1. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW? [if no, go to 3.2]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[PoA II.11]	<p>3.1.1. List laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW.</p> <p>The current system in Ukraine for issuing permits for the right to make international transfers of military goods, including small arms and light weapons (SALW), is regulated at the legislative level: - The Law of Ukraine “On State Control over the International Transfers of Military Goods and Dual Use”; - The Law of Ukraine “On Foreign Economic Activity”; - The Law of Ukraine “On State Regulation of Activities in the Field of Technology Transfer”;</p> <p>- Decree of the Cabinet of Ministers of Ukraine dated November 20, 2003 No. 1807 “On Approval of the Procedure for Exercising State Control over International Transfers of Military Goods”; - Decree of the Cabinet of Ministers of Ukraine dated 06.06.2012 No. 500 “On Approval of the Regulation on the Procedure for State Control over Negotiations related to the Conclusion of Foreign Economic Agreements (contracts) on the Export of Goods”;</p> <p>- Decree of the Cabinet of Ministers of Ukraine of July 15, 1997 No. 767 “On Approval of the Regulation on the Procedure for Conducting Expert Examination in the field of State Export Control”; - Decree of the Cabinet of Ministers of Ukraine dated 08.06.1998 No. 838 “On Approval of the Regulation on the Procedure for Granting Business Entities the Right to Export, Import Military Goods and Goods that Contain Data that is a State Secret”;</p> <p>- Resolution of the Cabinet of Ministers of Ukraine dated 12.07.1999 No. 1228 “On Providing Business Entities with the Right to Export, Import Military Goods and Goods Containing Data that are a State Secret”; - Decree of the Cabinet of Ministers of Ukraine dated May 27, 1999 No. 920 “On Approval of the Regulation on the Procedure for Providing Guarantees and State Control over the Fulfillment of Obligations regarding the Use for Goods declared that are subject to export control”.</p>		
Licensing and authorisation			
[PoA II.11]	3.2. Does a person or an entity who transfers SALW require a licence or other form of authorisation to transfer SALW from/into your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Licensing and authorisation			
[PoA II.3]	3.3. Is it a criminal offence to trade SALW without a licence or authorisation, or to do so in a manner that is in contradiction to the terms of a licence or authorisation, in your country?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Licensing and authorisation			
[PoA II.11]	3.4. What kind of documentation does your country require prior to authorising an export of SALW to another country?		
[PoA II.12]	a) An end-user certificate (EUC) from the importing country. [if no, go to 3.4 b)]	<input checked="" type="checkbox"/>	<input type="checkbox"/>

i) What elements does an end-user certificate in your country contain (check relevant boxes)?

- | | |
|---|-------------------------------------|
| 1) Detailed description (type, quantity, characteristics) of the SALW or technology | <input checked="" type="checkbox"/> |
| 2) Contract number or order reference and date | <input checked="" type="checkbox"/> |
| 3) Final destination country | <input checked="" type="checkbox"/> |
| 4) Description of the end-use of the SALW | <input checked="" type="checkbox"/> |
| 5) Exporter's details (name, address and business name) | <input checked="" type="checkbox"/> |
| 6) End-user information (name, position, full address and original signature) | <input checked="" type="checkbox"/> |
| 7) Information on other parties involved in the transaction | <input checked="" type="checkbox"/> |
| 8) Certification by the relevant government authorities of the authenticity of the end-user | <input checked="" type="checkbox"/> |
| 9) Date of issue | <input checked="" type="checkbox"/> |
| 10) Other: | <input checked="" type="checkbox"/> |
| [if other, please explain] | |

b) Other types of end-user documentation:

Licensing and authorisation

- | | | | |
|-------------|--|-------------------------------------|--------------------------|
| [PoA II.12] | 3.5. Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided? [if no, go to 3.6] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | 3.5.1. Details: [if yes] | | |

Licensing and authorisation

- | | | | |
|--|--|-------------------------------------|--------------------------|
| | 3.6. Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | 3.6.1. Details: [if yes] | | |

The legislation of Ukraine envisages control over the final use of exported goods. To this end, according to Article 19 of the Law of Ukraine, the subject of international transfers of goods must provide the State Service of Export Control with complete and reliable information about the end-use of goods known to him, the international transfer of which to be carried out, as well as the original guarantee documents that confirm the use of goods exclusively in the goods declared by him or other end user purposes.

The subject of international transfers of goods should undertake measures to verify the delivery and final use of goods in case of their export and provide information to the State Service of Export Control on this. To this end, the legislation of Ukraine in the field of export control envisages that the subject of international transfers of goods provides the State Service of Export Control the End-User Certificate.

Article 1 of the Law of Ukraine determines that the end-user certificate is a document by which the end-user determines the place and purpose of the final use (installation) of goods and ensures that these goods will not be used for purposes other than those specified in the certificate, including that they will not be transferred to another user the territory of the country of destination or re-exported without the permission of the relevant state body, and also assumes other guarantees (obligations) regarding imported goods, provided subject to the terms of the foreign economic agreement (contract) or the requirements of the exporting country.

Post-delivery controls

3.7. When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State? ☒ ☐

Post-delivery controls

3.8. After exporting, does your country verify or seek to authenticate DVCs provided? ☒ ☐

3.8.1. Details: [if yes]

According to Article 22 of the Law of Ukraine "On State Control of International Transfers of Military Goods and Dual Use", the subject of international transfers of goods, who is given permission, conclusion or an international import certificate, submits to the central executive authority in charge of the implementation of the state policy on issues related to state export control, written reports on the results of negotiations, as well as on the conclusions of implementation of export and import of goods and on the use of Research Institute of goods in accordance with the stated objectives.

According to Article 28 of the Cabinet of Ministers of Ukraine dated November 20, 2003 No. 1807 "On Approving the Procedure for Exercising State Control over International Transfers of Military Goods", the entity submits reports on the use of permits or opinions on the right to make international transfers of goods to the State Export Control in the form established by the Ministry of Economy. The time for submission of reports is determined at the time of registration of this entity in the State Export Control or registration of permits or conclusions.

Failure or untimely submission by the subject of these reports entails liability in accordance with the law.

Post-delivery controls

3.9. When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery? ☐ ☐

Marking at import

[ITI 8b] 3.10. Does your country require that SALW imported into your country be marked at the time of import? [if no, go to 3.11] ☒ ☐

3.10.1. Who is responsible for marking the SALW?

According to Article 22 of the Law of Ukraine "On State Control of International Transfers of Military Goods and Dual Use", the subject of international transfers of goods, who is given permission, conclusion or an international import certificate, submits to the central executive authority in charge of the implementation of the state policy on issues related to state export control, written reports on the results of negotiations, as well as on the conclusions of implementation of export and import of goods and on the use of Research Institute of goods in accordance with the stated objectives.

According to Article 28 of the Cabinet of Ministers of Ukraine dated November 20, 2003 No. 1807 "On Approving the Procedure for Exercising State Control over International Transfers of Military Goods", the entity submits reports on the use of permits or opinions on the right to make international transfers of goods to the State Export Control in the form established by the Ministry of Economy. The time for submission of reports is determined at the time of registration of this entity in the State Export Control or registration of permits or conclusions.

Failure or untimely submission by the subject of these reports entails liability in accordance with the law.

3.10.2. What information is included in the marking on import (check relevant boxes)?

- a) Country of import ☐
- b) Year of import ☐
- c) Other: ☐
- [if other, please explain]

3.10.3. Are there exceptions to the requirement to mark imported SALW? ☐ ☐

3.10.3.1. If so, describe:

3.10.4. If marked SALW imported into your country do not bear a unique marking when they arrive, does your country require that they be given such a marking? ☐ ☐

3.10.4.1. Details: [if yes]

Record keeping

[PoA II.9: ITI 12] 3.11. Does your country require that exporters and importers of SALW keep records of their activities? [if no, go to 3.12] ☐ ☐

3.11.1. What information must be recorded (check relevant boxes)?

- a) Quantity of SALW traded ☐
- b) Type or model of SALW traded ☐
- c) Markings appearing on transferred SALW ☐
- d) Transactions ☐
 - i) Identity of buyer/seller ☐
 - ii) Country SALW are to be delivered to or purchased from ☐

iii) Date of delivery

☐

e) Other:

☐

[if other, please explain]

3.11.2 How long must records of transfers be kept?

[if other, please explain]

Diversion

[RevCon3
outcome II.
A.1(c)20]

3.12. Does your country collect information on domestic incidents of diversion related to international transfers?

☐
☐

3.12.1. Number of incidents of diversion related to international transfers:

3.12.1.1. Details:

Actions taken during the reporting period

[PoA II.6]

3.13. During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)

☐
☐

3.13.1. Details:

International assistance

[PoA III.6]

3.14. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW? [if no, go to 4.1.]

☐
☒

3.14.1. What kind of assistance do you require?

3.14.2 Has your country developed a project proposal for assistance in this regard?
[possible to upload relevant files in Section 10]

☐
☐

Section 4: Brokering

Sources	Question	Yes	No
Laws, regulations and administrative procedures			
[PoA II.14]	4.1. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW? [if no, go to 4.2]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	4.1.1. List laws and/or administrative procedures regulating SALW brokering in your country.		
	The issue of legislative regulation of mediation (brokerage) activity in relation to military goods (including SALW) in Ukraine is in the legal field of legislative regulation of export control. The legal framework of Ukraine in the field of export control for military goods (including SALW) is: - Law of Ukraine "On State Control over International Transfers of Military and Dual-Use Goods"; - Law of Ukraine "On Foreign Economic Activity";		
	- The Law of Ukraine "On State Regulation of Technology Transfer Activities"; - Resolution of the Cabinet of Ministers of Ukraine No. 1807 of November 20, 2003 "On Approving the Procedure for Exercising State Control over International Transfers of Military Goods"; Decree of the Cabinet of Ministers of Ukraine of June 6, 2012 No. 500 "On Approving the Regulation on the procedure of state control over the conduct of negotiations related to the conclusion of foreign economic agreements (contracts) on export of goods";		
	- Decree of the Cabinet of Ministers of Ukraine of July 15, 1997 No. 767 "On Approving the Regulation on the Procedure of Expertise in the Field of State Export Control"; - Decree of the Cabinet of Ministers of Ukraine dated 8.06.199 No. 838 "On Approving the Regulation on the procedure for granting to the subjects of foreign economic activity powers for the right to export, import military goods and goods containing data which is a state secret";		
	- Decree of the Cabinet of Ministers of Ukraine dated 12.07.1999 No. 1228 "On Granting to the subjects of foreign economic activity powers for the right to export, import of military goods and goods containing data which is a state secret"; - Decree of the Cabinet of Ministers of Ukraine of May 27, 1999 No. 920 "On Approving the Regulation on the procedure for providing guarantees and exercising state control over the fulfillment of obligations regarding the use of goods subject to export control for the declared purposes".		
	4.1.2. Does your country require registration of SALW brokers?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	4.1.3. Does your country require a licence, permit or other authorisation for each brokering transaction?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
GGE Report para 44	4.2. Does your country regulate activities that are closely associated with the brokering of SALW?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	4.2.1. If so which of the following activities are regulated when undertaken in connection with the brokering of SALW (check relevant boxes)?		
	a) Acting as dealers or agents	<input checked="" type="checkbox"/>	
	b) Providing technical assistance	<input checked="" type="checkbox"/>	
	c) Training	<input checked="" type="checkbox"/>	

- | | |
|----------------------------|-------------------------------------|
| d) Transport | <input checked="" type="checkbox"/> |
| e) Freight forwarding | <input checked="" type="checkbox"/> |
| f) Storage | <input checked="" type="checkbox"/> |
| g) Finance | <input checked="" type="checkbox"/> |
| h) Insurance | <input checked="" type="checkbox"/> |
| i) Maintenance | <input checked="" type="checkbox"/> |
| j) Security | <input checked="" type="checkbox"/> |
| k) Other services: | <input type="checkbox"/> |
| [if other, please explain] | |

Actions taken during the reporting period

- | | | | |
|------------------------------------|---|--------------------------|--------------------------|
| RevCon3
outcome II.
A.1(c)20 | 4.3. During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)? | <input type="checkbox"/> | <input type="checkbox"/> |
| | 4.3.1. Details: [if yes] | | |

International assistance

- | | | | |
|-------------|---|--------------------------|-------------------------------------|
| [PoA III.6] | 4.4. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to regulate SALW brokering? [if no, go to 5.1] | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | 4.4.1. What kind of assistance do you require? | | |
| | 4.4.2. Has your country developed a project proposal for assistance in this regard?
[possible to upload relevant files in Section 10] | <input type="checkbox"/> | <input type="checkbox"/> |

Section 5: Stockpile management

Sources	Question	Yes	No
Laws, regulations and administrative procedures			
[PoA II.17]	5.1. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW? [if no, go to 5.2]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[PoA II.17]	5.1.1. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?		
	a) Appropriate locations for stockpiles	<input checked="" type="checkbox"/>	
	b) Physical security measures	<input checked="" type="checkbox"/>	
	c) Control of access to stocks	<input checked="" type="checkbox"/>	
	d) Inventory management and accounting control	<input checked="" type="checkbox"/>	
	e) Staff training	<input checked="" type="checkbox"/>	
	f) Security, accounting and control of SALW held or transported by operational units or authorised personnel	<input checked="" type="checkbox"/>	
	g) Procedures and sanctions in the event of theft or loss	<input checked="" type="checkbox"/>	
	h) Other:	<input type="checkbox"/>	
	[if other, please explain]		
Surplus			
[PoA, II.18]	5.2. When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?		
	a) Officially declare as surplus	<input checked="" type="checkbox"/>	
	b) Take out of service	<input checked="" type="checkbox"/>	
	c) Record by type, lot, batch, and serial number	<input checked="" type="checkbox"/>	
	d) Store separately	<input checked="" type="checkbox"/>	
	e) Other:	<input type="checkbox"/>	
	[if other, please explain]		
Surplus			
[PoA, II.18]	5.3. In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?		
	a) Destruction	<input checked="" type="checkbox"/>	
	b) Sale to another State	<input checked="" type="checkbox"/>	
	c) Donation to another State	<input checked="" type="checkbox"/>	
	d) Transfer to another state agency	<input checked="" type="checkbox"/>	

- e) Sale to civilians ☐
- f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.) ☐
- g) Other: ☐
- [if other, please explain]

Diversions

- [RevCon3
outcome II.
A.1(c)20] 5.4. Does your country collect information on incidents of diversion related to national stockpile management? ☐ ☐
- 5.4.1. Number of incidents of diversion related to stockpile management:
- 5.4.1.1. Details:

Actions taken during the reporting period

- [PoA II.19] 5.5. During the biennial reporting period, has your country destroyed surplus stocks? [if no, go to 5.4] ☐ ☒
- 5.5.1. How many SALW were destroyed?
- i) First reporting year (2018)
- ii) Second reporting year (2019)

- [RevCon3
outcome II.
A.3(b)46] 5.5.2. Any good practice regarding destruction (e.g. details on method of destruction [burning, melting, cutting, crushing, others: specify])?

International assistance

- [PoA II.29:
III.6] 5.6. Does your country wish to request assistance in developing standards and procedures on stockpile management? [if no, go to 5.5] ☒ ☐
- 5.6.1. What kind of assistance do you require?
- [of a system for automated accounting system of small arms and ammunition](#)
- 5.6.2. Has your country developed a project proposal for assistance in this regard? ☒ ☐

International assistance

- [PoA III.6:
14] 5.7. Does your country wish to request assistance in developing capacity for the destruction of weapons? [if no, go to 6.1] ☐ ☒
- 5.7.1. What kind of assistance do you require?
- 5.7.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10] ☐ ☐

Section 6: Collection

Sources	Question	Yes	No
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Collection

[RevCon3

Outcome II.

B.1]

6.1. During the reporting period, did your country collect any SALW ? [if no, go to 6.2]



6.1.1. How many SALW were collected? [click No if data is not available: go to 6.2]



i) First reporting year (2018)

ii) Second reporting year (2019)

6.1.1.1. What action was taken with respect to the SALW collected? Provide numbers of weapons collected. [click No if data is not available: go to 6.2]

	SALW collected	
Year	i) First reporting year (2018)	ii) Second reporting year (2019)
6.1.1. Collected		
6.1.1. Action taken		
a) Marked		
b) Recorded		
c) Destroyed		
d) Trace request issued		
e) Other action [specify]:		
f) No action taken (only stored)		

6.1.1.2. If further breakdown of collected SALW is available, specify and provide numbers: [click No if data is not available: go to 6.2]

Year	i) First reporting year (2018)	ii) Second reporting year (2019)
a) How many SALW were seized?		
b) How many SALW were surrendered?		
c) How many SALW were found?		

6.1.1.3 What action was taken with respect to the SALW seized, surrendered or found? Specify and provide numbers. [click No if data is not available: go to 6.2]

	SALW seized		SALW surrendered		SALW found	
Year	i) First reporting year (2018)	ii) Second reporting year (2019)	i) First reporting year (2018)	ii) Second reporting year (2019)	i) First reporting year (2018)	ii) Second reporting year (2019)
6.1.1.2. seized / surrendered / found						
6.1.1.3 Action taken						
a) Marked						
b) Recorded						
c) Destroyed						
d) Trace request issued						
e) Other action: [specify]						
f) No action taken (only stored)						

6.1.1.4. Details (e.g. types of weapons) [possible to upload relevant files in Section 10]

International assistance

[PoA III.6]

6.2. Does your country wish to request assistance in building capacity for collection of the illicit SALW? [if no, go to 7.1]

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6.2.1. What kind of assistance do you require?

6.2.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10]

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Section 7: Making and record-keeping

Sources	Question	Yes	No
Marking			
[ITI 8d]	7.1 Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked? [if no, go to 7.2] 7.1.1. Describe the markings that are applied to government-held stocks.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[ITI 8c]	7.1.2. When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks?	<input type="checkbox"/>	<input type="checkbox"/>
Marking			
[ITI 8e]	7.2. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings? 7.2.1. Details: [if yes]	<input type="checkbox"/>	<input type="checkbox"/>
Marking			
[RevCon3 II.A.4]	7.3. In its marking practice, does your country take into account developments in SALW manufacturing, technology and design (e.g. modular weapons, the use of new materials and 3D printing)? 7.3.1 Details: [if yes]	<input type="checkbox"/>	<input type="checkbox"/>
Information on national marking practice			
[ITI 31]	7.4. Pursuant to paragraph 31 of the International Tracing Instrument, States will provide the following information, updating it when necessary: a) National marking practices related to markings used to indicate country of manufacture and/or country of import as applicable.		
[RevCon3 outcome III.E.20]	Such information should be shared with INTERPOL to be included in relevant databases (www.interpol.int/INTERPOL-expertise/Databases).		
Record keeping			
[PoA II.9]	7.5. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory? [if no, go to 7.6] 7.5.1. What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licences granted, sales to other States, SALW held by State agencies such as the armed forces etc)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
[ITI 12 a,b]	7.5.2. How long does the government keep such records? [please detail]		

[ITI 13]	7.5.3. In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc) required to submit all records held by them to the government?	<input type="checkbox"/>	<input type="checkbox"/>
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International assistance

[PoA III.6, ITI 27]	7.6. Does your country wish to request assistance in building capacity for marking and/or record-keeping? [if no, go to 8.1]	<input type="checkbox"/>	<input type="checkbox"/>
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7.6.1. What kind of assistance do you require?

	7.6.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10]	<input type="checkbox"/>	<input type="checkbox"/>
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Section 8: International tracing

Sources	Question	Yes	No
Laws, regulations and administrative procedures			
[PoA II.10: ITI 14, 24]	8.1. Does your country have procedures in place to trace SALW? [if no, go to 8.2]	<input type="checkbox"/>	<input type="checkbox"/>
Tracing requests			
[ITI 25: 31a]	8.2. Which government agency is responsible for making a tracing request to another country?		
Tracing requests			
[ITI 17]	8.3. What information does the designated agency include in a tracing request? (check relevant boxes)		
	a) Circumstances under which the SALW was found	<input type="checkbox"/>	
	b) Reasons why the SALW is considered to be illegal or illicit	<input type="checkbox"/>	
	c) The intended use of the information being sought	<input type="checkbox"/>	
	d) Any markings on the SALW	<input type="checkbox"/>	
	e) Type/calibre of SALW	<input type="checkbox"/>	
	f) Other:	<input type="checkbox"/>	
	[if other, please explain]		
Technologies for tracing			
[RevCon3 outcome III.F.25]	8.4. Has your country made use of technologies to improve tracing of illicit SALW?	<input type="checkbox"/>	<input type="checkbox"/>
Cooperation with INTERPOL			
[PoA II.37: ITI 33]	8.5. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL)?	<input type="checkbox"/>	<input type="checkbox"/>
International assistance			
[PoA III.9]	8.6. Does your country wish to request assistance in developing procedures to trace SALW? [if no, go to 9.1]	<input type="checkbox"/>	<input type="checkbox"/>
[PoA II.36: III.6:ITI 27]	8.6.1. What kind of assistance do you require?		
	8.6.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10]	<input type="checkbox"/>	<input type="checkbox"/>

Section 9: International cooperation and assistance

Sources	Question	Yes	No
Assistance requested/received/provided			
[PoA III.3, 6]	9.1. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI? [if no, go to 10.1]	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	9.1.1. If so, in what areas (check relevant boxes)?		
	a. Establishing/designating National Coordination Agency/National Point of Contact and National Action Plan		
	a) Nature of the assistance:		
	i) financial: Requested/Received/Provided (select appropriate)		
	ii) technical: Requested/Received/Provided (select appropriate)		
	b) Amount of assistance provided/received (if financial):		
	c) Description of the assistance activity:		
	d) Duration of the assistance provided/received:		
	e) State(s) or organization(s) that provided/received the assistance:		
	b. Disarmament, demobilization and reintegration (DDR)		
	a) Nature of the assistance:		
	i) financial: Requested/Received/Provided (select appropriate)		
	ii) technical: Requested/Received/Provided (select appropriate)		
	b) Amount of assistance provided/received (if financial):		
	c) Description of the assistance activity:		
	d) Duration of the assistance provided/received:		
	e) State(s) or organization(s) that provided/received the assistance:		
	c. Capacity-building and training on SALW issues		
	a) Nature of the assistance:		
	i) financial: Requested/Received/Provided (select appropriate)		

ii) technical: Requested/Received/Provided (select appropriate)

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

d. Law enforcement

a) Nature of the assistance:

i) financial: Requested/Received/Provided (select appropriate)

ii) technical: Requested/Received/Provided (select appropriate)

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

e. Customs and borders

a) Nature of the assistance:

i) financial: Requested/Received/Provided (select appropriate)

ii) technical: Requested/Received/Provided (select appropriate)

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

f. Research

a) Nature of the assistance:

- i) financial: Requested/Received/Provided (select appropriate)
- ii) technical: Requested/Received/Provided (select appropriate)
- b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

g. Gender considerations / women, men, girls and boys

- a) Nature of the assistance:
 - i) financial: Requested/Received/Provided (select appropriate)
 - ii) technical: Requested/Received/Provided (select appropriate)
- b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

h. Awareness-raising

- a) Nature of the assistance:
 - i) financial: Requested/Received/Provided (select appropriate)
 - ii) technical: Requested/Received/Provided (select appropriate)
- b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

i. Organized crime, drug trafficking and terrorism

- a) Nature of the assistance:
 - i) financial: Requested/Received/Provided (select appropriate)
 - ii) technical: Requested/Received/Provided (select appropriate)
- b) Amount of assistance provided/received (if financial):
- c) Description of the assistance activity:
- d) Duration of the assistance provided/received:
- e) State(s) or organization(s) that provided/received the assistance:

j. Other

Specify:

- a) Nature of the assistance:
 - i) financial: Requested/Received/Provided (select appropriate)
 - ii) technical: Requested/Received/Provided (select appropriate)
- b) Amount of assistance provided/received (if financial):
- c) Description of the assistance activity:
- d) Duration of the assistance provided/received:
- e) State(s) or organization(s) that provided/received the assistance:

Section 10: Gender and additional information

Sources	Question	Yes	No
Gender considerations			
[RevCon3 outcome]	10.1. Does your country take into account gender considerations? [If yes, click where applicable]	<input type="checkbox"/>	<input type="checkbox"/>
[RevCon3 II.B.2.73]	10.1.1. Increase understanding of the gender-specific impacts of the illicit trade in small arms and light weapons (training, workshops, gender-analysis)	<input type="checkbox"/>	<input type="checkbox"/>
[RevCon3 II.B.2.74]	10.1.2. Promote the meaningful participation and representation of women in policymaking, planning and implementation processes related to the implementation of the PoA, including their participation in national small arms commissions	<input type="checkbox"/>	<input type="checkbox"/>
[BMS6.I.61]	10.1.3. Seriously consider increasing funding for policies and programmes that take account of the differing impacts of illicit small arms and light weapons on women, men, girls and boys	<input type="checkbox"/>	<input type="checkbox"/>
[RevCon3 outcome II.B.2.76]	10.1.4. Mainstream gender dimensions into your implementation efforts	<input type="checkbox"/>	<input type="checkbox"/>
[RevCon3 outcome II.B.2.76]	10.1.5. Exchange national experiences, lessons learned and best practices on the mainstreaming gender dimensions into policies and programmes	<input type="checkbox"/>	<input type="checkbox"/>
[RevCon3 outcome II.B.2.65]	10.1.6. Ensure coordination on the implementation of the PoA between relevant national small arms authorities with other national authorities working on gender equality	<input type="checkbox"/>	<input type="checkbox"/>
[RevCon3 outcome II.B.2.75]	10.1.7. Ensure coordination on the implementation of the PoA between relevant national authorities and women's civil society groups	<input type="checkbox"/>	<input type="checkbox"/>
[RevCon3 outcome II.B.2.75]	10.1.8. Others. Specify:		
Gender considerations			
[RevCon3 outcome II.B.2.79]	10.2. Does your country collect disaggregated data on gender and SALW? 10.2.1 Details:	<input type="checkbox"/>	<input type="checkbox"/>
Additional information – Key challenges and opportunities			
ITI 31	10.3. Any further comments on PoA and ITI, including key challenges and opportunities relating to the implementation of PoA and ITI, and national laws, regulations and administrative procedures?		

a) Details

b) Please upload/attach additional files (e.g. views on the implementation of the PoA and ITI, a national action plan, project proposals, a list of projects implemented and financial contributions provided):