National Report on the implementation of the Programme of Action on small arms and light weapons (PoA) and the International Tracing Instrument (ITI)

Ghana English SUBMITTED

Section 1: Point of contact

Sources	Question	Yes	No	Developing
	National Coordination Agency			
PoA II.4	1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects?			
	a) Name of agency:			
	Ghana National Commission on Small Arms and Light Weapons			
	b) Address:			
	P.O. BOX M42 ACCRA GHANA			
	c) Contact details:			
	i) Contact person:			
	Jones Applerh			
	ii) Telephone number(s):			
	+233302669071			
	iii) Email:			
	applerjones@yahoo.com			
	National Point of Contact			
PoA II.5, 24	2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)?	\subseteq		
	2.1 Details:			
	a) Name:			
	Leonard Bortey Tettey			
	b) Organization or agency:			
	Ghana National Commission on Small Arms and Light Weapons			
	c) Address:			
	P.O. BOX M42 ACCRA GHANA			
	d) Telephone number(s):			
	+233302669071			
	e) Email:			
	leo.btettey@gmail.com			
ITI 25	2.2 Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)?	\subseteq		

ITI 25	2.3 If the answer to Question 2.2 is 'no', does your country have a National Point of		
	Contact for purposes of exchanging information and liaising on all matters relating to the ITI?		
	2.3.1 Details:		
	a) Name:		
	d) I white.		
	b) Organization or agency:		
	c) Address:		
	d) Telephone number(s):		
	e) Email:		

Section 2: Manufacture

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
	3. Are there any SALW manufactured in your country?		\subseteq
PoA II.2	3.1 Does your country have laws, regulations and/or administrative procedures to exercise effective control over the manufacture of SALW?		
	3.1.1 List laws, regulations and/or administrative procedures regulating the manufacture of SALW in your country:		
	3.1.2 Does your country license the manufacture of SALW?		
PoA II.3	3.1.3 Is illegal manufacture of SALW considered a criminal offence in your country?		
	Marking and manufacture		
PoA II.7: ITI 8a	3.2 Does your country require that SALW be marked at the time of manufacture?		\checkmark
ITI 8a	3.2.1 What information is included in the marking (check relevant boxes)?		
	a) Name of the manufacturer		
	b) Country of manufacture		
	c) Serial number		
	d) Year of manufacture		
	e) Weapon type/model		
	f) Caliber		
	g) Other:		
	[if other, please explain]		
ITI 10a	3.2.2 What part of the SALW is marked?		
	3.2.3 Are there exceptions to the requirement to mark SALW at the time of manufacture?		
	3.2.3.1 If so, describe:		
	Record-keeping by manufacturers		
PoA II.9: ITI			
11	3.3 Does your country require that manufacturers keep records of their activities?		ٽ
ITI 12a	3.3.1 What information must be recorded (check relevant boxes)?		
	a) Quantity of SALW manufactured		

	b) Type or model of SALW manufactured		
	c) Markings applied to manufactured SALW		
	d) Transactions (e.g. sales of manufactured and marked SALW)		
	e) Other:		
	[if other, please explain]		
ITI 12a	3.3.2 How long must manufacturing records be kept?		
	[if other, please explain]		
	Actions taken during the reporting period		
PoA II.6	3.4 During the reporting period, was action taken against groups or individuals engaged in illegal manufacturing of SALW (e.g. craft manufacturing)?		
	3.4.1 Details (e.g. prosecution):		
	A sensitization workshop was held for selected Blacksmiths in the Ashanti Region of Ghana		
	International assistance		
PoA III.6	4. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture?	\checkmark	
	4.1 What kind of assistance do you require?		
	Assistance to review the current Laws to make them conform with International Protocol such as the UNPoA and ECOWAS Convention		
	4.2 Has your country developed a project proposal for assistance?	\subseteq	

Section 3: International transfers

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.2, 12	5. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?	\subseteq	
PoA II.11	5.1 List laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW.		
	Arms and Ammunitions Act 118 of 1962, Africa Defence (Ghana) Act, 1965, Act 313, Armed Forces Act, 1962 Act 105, Public Order Act, 1994, Act 1994 Act 491, Criminal Code, 1960 Act 29		
PoA II.11	5.2 Does a person or an entity who transfers SALW require a license or other form of authorisation to transfer SALW from/into your country?	\subseteq	
PoA II.3	5.3 Is it a criminal offence to trade SALW without a license or authorisation, or to do so in a manner that is in contradiction to the terms of a license or authorisation, in your country?		
PoA II.11	5.4 What kind of documentation does your country require prior to authorising an export of SALW to another country?		
PoA II.12	a) An end-user certificate (EUC) from the importing country.	\checkmark	
	i) What elements does an end-user certificate in your country contain (check relevant boxes)?		
	1) Detailed description (type, quantity, characteristics) of the SALW or technology	\square	
	2) Contract number or order reference and date	\subseteq	
	3) Final destination country	$\overline{\checkmark}$	
	4) Description of the end-use of the SALW	\checkmark	
	5) Exporter's details (name, address and business name)	\subseteq	
	6) End-user information (name, position, full address and original signature)		
	7) Information on other parties involved in the transaction	\subseteq	
	8) Certification by the relevant government authorities of the authenticity of the end-user	\subseteq	
	9) Date of issue	\subseteq	
	10) Other:		
	[if other, please explain]		

b) Other types of end-user documentation:

PoA II.12	5.5 Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided?	\subseteq	
	5.5.1 Details:		
	This is done through the various Ghana Missions		
	5.6 Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation?		\checkmark
	5.6.1 Details:		
	5.7 When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?		$oxed{oxed}$
	5.8 After exporting, does your country verify or seek to authenticate DVCs provided?5.8.1 Details:		$oxed{\checkmark}$
	5.9 When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery?	\leq	
ITI 8b	5.10 Does your country require that SALW imported into your country be marked at the time of import?		\checkmark
	5.10.1 Who is required to mark the SALW?		
	The Manufacturer at the Country of Export		
	5.10.2 What information is included in the marking on import (check relevant boxes)?		
	a) Country of import	\subseteq	
	b) Year of import	\subseteq	
	c) Other:		
	[if other, please explain]		
	5.10.3 Are there exceptions to the requirement to mark imported SALW?		\subseteq
	5.10.3.1 If so, describe:		

	5.10.4 If marked SALW imported into your country do not bear a unique marking when they arrive, does your country require that they be given such a marking?	\subseteq
	5.10.4.1 Details:	
PoA II.9: ITI 12	5.11 Does your country require that exporters and importers of SALW keep records of their activities?	\subseteq
	5.11.1 What information must be recorded (check relevant boxes)?	
	a) Quantity of SALW traded	
	b) Type or model of SALW traded	
	c) Markings appearing on transferred SALW	
	d) Transactions	
	i) Identity of buyer/seller	
	ii) Country SALW are to be delivered to or purchased from	
	iii) Date of delivery	
	e) Other:	
	[if other, please explain]	
	5.11.2 How long must records of transfers be kept?	
	Other	
	[if other, please explain]	
	N/A	
PoA II.6	5.12 During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)	
	5.12.1 Details:	
	International assistance	
PoA III.6	6. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?	
	6.1 What kind of assistance do you require?	
	Capacity Building for the Agencies involved in the Exports and Imports of SALW.	
	6.2 Has your country developed a project proposal for assistance?	

Section 4: Brokering

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.14	7. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW?		
	7.1 List laws and/or administrative procedures regulating SALW brokering in your country.		
	Arms and Ammunitions Act 118 of 1962		
	7.2 Does your country require registration of SALW brokers?	\checkmark	
	7.3 Does your country require a license, permit or other authorisation for each brokering transaction?	\checkmark	
GGE Report para 44	7.4 Does your country regulate activities that are closely associated with the brokering of SALW?		
	7.4.1 If so which of the following activities are regulated when undertaken in connection with the brokering of SALW (check relevant boxes)?		
	a) Acting as dealers or agents	\subseteq	
	b) Providing technical assistance		
	c) Training		
	d) Transport	\checkmark	
	e) Freight forwarding		
	f) Storage	\subseteq	
	g) Finance	\subseteq	
	h) Insurance		
	i) Maintenance		
	j) Security	\subseteq	
	k) Other services:		
	[if other, please explain]		
	7.5 During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)?		Ø
	7.5.1 Details:		
	International assistance		
PoA III.6	8. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to regulate SALW brokering?	\subseteq	

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8.1 What kind of assistance do you require?

Drafting of a new Law on Brokering as well as consultation process,

8.2 Has your country developed a project proposal for assistance?

Section 5: Stockpile management

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.17	9. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW?		
PoA II.17	9.1 If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?		
	a) Appropriate locations for stockpiles	\subseteq	
	b) Physical security measures	\checkmark	
	c) Control of access to stocks	\subseteq	
	d) Inventory management and accounting control	\checkmark	
	e) Staff training	\checkmark	
	f) Security, accounting and control of SALW held or transported by operational units or authorised personnel	\checkmark	
	g) Procedures and sanctions in the event of theft or loss	\checkmark	
	h) Other:		
	[if other, please explain]		
PoA, II.18	 9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)? a) Officially declare as surplus b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately 	S S	
	e) Other:		
	[if other, please explain]		
PoA, II.18	9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?		
	a) Destruction		
	b) Sale to another State		
	c) Donation to another State		
	d) Transfer to another state agency	\checkmark	

	e) Sale to civilians		
	f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.)		
	g) Other:		
	[if other, please explain]		
PoA II.19	9.4 During the biennial reporting period, has your country destroyed surplus stocks?		
	9.4.1 How many SALW were destroyed?		
	i) First reporting year (2016):		
	ii) Second reporting year (2017):		
	9.4.2 Any further comments regarding destruction?		
	International assistance		
PoA II.29: III.6	10. Does your country wish to request assistance in developing standards and procedures on stockpile management?	\checkmark	
	10.1 What kind of assistance do you require?		
	Capacity Enhancement.		
	10.2 Has your country developed a project proposal for assistance?		
	International assistance		
PoA III.6: 14	11. Does your country wish to request assistance in developing capacity for the destruction of weapons?		
	11.1 What kind of assistance do you require?		
	Capacity Enhancement for Officials in Modern methods in destruction		
	11.2 Has your country developed a project proposal for assistance?		\checkmark

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			lection

collect any SALW?	
No if data is not available: go to 13]	
	\subseteq
	x No if data is not available: go to 13]

numbers of weapons collected. [click No if data is not available: go to 13]

	SALW collected		
Year	i) First reporting year (2016)	ii) Second reporting year (2017)	
12.1 Collected			
12.1.1 Action taken			
a) Marked			
b) Recorded			
c) Destroyed			
d) Trace request issued			
e) Other action [specify]:			
f) No action taken (only stored)			

12.1.2 If further breakdown of collected SALW is available, specify and provide numbers: [click No if data is not available: go to 13]

Year	i) First reporting year (2016)	ii) Second reporting year (2017)
a) How many SALW were seized?		
b) How many SALW were surrendered?		
c) How many SALW were found?		

12.1.3 What action was taken with respect to the SALW seized, surrendered or found? Specify and provide numbers. [click No if data is not available: go to 13]

	SALW seized		SALW surrendered		SALW found	
Year	i) First reporting year (2016)	ii) Second reporting year (2017)	i) First reporting year (2016)	ii) Second reporting year (2017)	i) First reporting year (2016)	ii) Second reporting year (2017)
12.1.2 seized / surrendered / found						
12.1.3 Action taken						
a) Marked						
b) Recorded						
c) Destroyed						
d) Trace request issued						
e) Other action: [specify]						
f) No action taken (only stored)						

	International assistance		
PoA III.6	13. Does your country wish to request assistance in building capacity for collection of the illicit SALW?		
	13.1 What kind of assistance do you require?		
	Public Destruction for the seized weapons		
	13.2 Has your country developed a project proposal for assistance?	\subseteq	

Sources	Question	Yes	No
	Marking		
ITI 8d	14. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?	\checkmark	
	14.1 Describe the markings that are applied to government-held stocks.		
	Markings that are applied to government held stocks are done in accordance with Article 18 of the ECOWAS Convention on SALW. The markings are applied per this sequence: ECOWAS Logo, Country Code, Institutional Code, Unit Code, Serial number of the Weapon, and a counter.		
ITI 8c	14.2 When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks?		riangle
	Marking		
ITI 8e	15. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?		
	15.1 Details:		
	Even though our law on Arms & Ammunition allows companies or individual to go into the production of SALW, we have not yet granted license to any company or individual for the production. The reason is we need to put in place the necessary systems and infrastructure in place and also review our law. In this regard we are currently reviewing our law on arms and ammunition and measures have been put in place to stop the removal or alteration of markings.		
	Record keeping		
PoA II.9	16. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory?	\subseteq	
	16.1 What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licenses granted, sales to other States, SALW held by State agencies such as the armed forces etc)?		
	All records such as imports & exports, brokering, Licensing, records on arms dealers are kept by the appropriate authorities.		
ITI 12 a,b	16.2 How long does the government keep such records? [please detail]		
	A minimum of 15 years but there are plans to put in place for an integrated systems automation for these records.		
ITI 13	16.3 In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting, etc) required to submit all records held by them to the government?	\checkmark	
	International assistance		
PoA III.6, ITI 27	17. Does your country wish to request assistance in building capacity for marking and/or record-keeping?		
	17.1 What kind of assistance do you require?		

We need technical assistance in the area of infrastructure for system automation and		
support for the marking of state owned weapons.		
17.2 Has your country developed a project proposal for assistance?	$\overline{\checkmark}$	

Section 8: International tracing

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.10: ITI 14, 24	18. Does your country have procedures in place to trace SALW?	\subseteq	
ITI 25: 31a	18.1 Which government agency is responsible for making a tracing request to another country?		
	The National Commission on Small Arms & Light Weapons		
ITI 17	18.2 What information does the designated agency include in a tracing request? (check relevant boxes)		
	a) Circumstances under which the SALW was found	\subseteq	
	b) Reasons why the SALW is considered to be illegal or illicit		
	c) The intended use of the information being sought	\subseteq	
	d) Any markings on the SALW	\subseteq	
	e) Type/calibre of SALW		
	f) Other:		
	[if other, please explain]		
	Cooperation with INTERPOL		
PoA II.37: ITI 33	19. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL)?	\subseteq	
	International assistance		
PoA III.9	20. Does your country wish to request assistance in developing procedures to trace SALW?	\subseteq	
PoA II.36: III.6:ITI 27	20.1 What kind of assistance do you require?		
	We require technical assistance. For instance we currently need a cisco device to enable us connect to the IARMS database through Interpol.		
	20.2 Has your country developed a project proposal for assistance?		\checkmark
	International assistance		
	21. Has your country considered providing assistance to examine technologies to improve the tracing and detection of illicit SALW?		\checkmark
PoA III.10: ITI 28	21.1 Details:		

Sources	Question	Yes	No
	Assistance requested/received/provided		
PoA III.3, 6	22. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI? [if no, proceed to end of Reporting Tool]		
	22.1 If so, in what areas (check relevant boxes)?		
	a. Establishing/designating National Coordination Agency/National Point of Contact		
	Provided		
	a) Nature of the assistance:		
	i) financial: (select appropriate)		
	Request		
	ii) technical: (select appropriate)		
	Provided		
	b) Amount of assistance provided/received (if financial):		
	c) Description of the assistance activity:		
	d) Duration of the assistance provided/received:		
	e) State(s) or organization(s) that provided/received the assistance:		
	National Commission on Small arms & Light Weapons		
	b. Disarmament, demobilization and reintegration (DDR)		
	Provided		
	a) Nature of the assistance:		
	i) financial: (select appropriate)		
	ii) technical: (select appropriate)		
	Provided		
	b) Amount of assistance provided/received (if financial):		
	c) Description of the assistance activity:		
	d) Duration of the assistance provided/received:		

e) State(s) or organization(s) that provided/received the assistance: Military and Police provides assistance to Liberia, Sierra Leone and Cote D'voire during the DDR programme c. Capacity-building and training on SALW issues Request a) Nature of the assistance: i) financial: (select appropriate) Request ii) technical: (select appropriate) Request b) Amount of assistance provided/received (if financial): c) Description of the assistance activity: d) Duration of the assistance provided/received: e) State(s) or organization(s) that provided/received the assistance: d. Law enforcement Request a) Nature of the assistance: i) financial: (select appropriate) Request ii) technical: (select appropriate) Request b) Amount of assistance provided/received (if financial): c) Description of the assistance activity: d) Duration of the assistance provided/received: e) State(s) or organization(s) that provided/received the assistance: e. Customs and borders Request

a) Nature of the assistance:
i) financial: (select appropriate)
Request
ii) technical: (select appropriate)
Request
b) Amount of assistance provided/received (if financial):
c) Description of the assistance activity:
d) Duration of the assistance provided/received:
e) State(s) or organization(s) that provided/received the assistance:
f. Action-oriented research
Request
a) Nature of the assistance:
i) financial: (select appropriate)
ii) technical: (select appropriate)
b) Amount of assistance provided/received (if financial):
c) Description of the assistance activity:
d) Duration of the assistance provided/received:
e) State(s) or organization(s) that provided/received the assistance:
g. Children/youth
Request
a) Nature of the assistance:
i) financial: (select appropriate)
ii) technical: (select appropriate)
b) Amount of assistance provided/received (if financial):
c) Description of the assistance activity:

d) Duration of the assistance provided/received:	
e) State(s) or organization(s) that provided/received the assista	ince:
h. Awareness-raising	
Request	
a) Nature of the assistance:	
i) financial: (select appropriate)	
ii) technical: (select appropriate)	
b) Amount of assistance provided/received (if financial):	
c) Description of the assistance activity:	
d) Duration of the assistance provided/received:	
e) State(s) or organization(s) that provided/received the assista	ince:
i. Organized crime, drug trafficking and terrorism	
Request	
a) Nature of the assistance:	
i) financial: (select appropriate)	
ii) technical: (select appropriate)	
b) Amount of assistance provided/received (if financial):	
c) Description of the assistance activity:	
d) Duration of the assistance provided/received:	
e) State(s) or organization(s) that provided/received the assista	ınce:
j. Other	
Specify:	
a) Nature of the assistance:	
i) financial: (select appropriate)	

ii) technical: (select appropriate)
b) Amount of assistance provided/received (if financial):
c) Description of the assistance activity:
d) Duration of the assistance provided/received:
e) State(s) or organization(s) that provided/received the assistance

Section 10: Information and files to be submitted

Sources	Question	Yes	No
	Information on national marking practice		
ITI 31	23. Pursuant to paragraph 31 of the International Tracing Instrument, States will provide the Secretary-General with the following information, updating it when necessary:		
ITI 31	 a) National marking practices related to marking used to indicate country of manufacture and/or country of import as applicable. 		
	ECOWAS Logo, Country Code, Institutional Code, Unit Code, Serial Number of the weapon and a counter example ECOWAS Logo, GH, GAF US222004-134		
	b) Please upload/attach information such as images and illustrations:		
	Uploaded information will be shared with INTERPOL, the global hub for firearms and ammunition databases (www.interpol.int/INTERPOL-expertise/Databases).		
	Gender considerations		
BMS6 outcome 59	24. Does your country take into account gender considerations, including promotion of the meaningful participation and representation of women, in policymaking, planning and implementation processes related to the Programme of Action?		
	24.1 Details: [if yes](e.g. percentage of members of the National Commission on SALW who are female, participation in national decision-making on SALW control by the government ministry responsible for women's affairs, participation of women's organisations in programmes relating to awareness-raising, community safety and armed violence reduction).		
	Gender considerations		
BMS6 outcome 60	25. Does your country collect disaggregated data on gender and the illicit trade in small arms and light weapons?		\subseteq
	25.1 Details: [if yes] (e.g. percentage and number of women participating in weapons collection/destruction programmes; gender-specific impacts of small arms and light weapons; small arms ownership; homicide; domestic violence.)		
	Additional information		
ITI 31	26. Any further comments on PoA and ITI, including implementation challenges and opportunities? [Please detail]		
	Please upload/attach additional files (e.g. views on the implementation of the PoA and ITI, a national action plan, project proposals, a list of projects implemented and financial contributions provided):		