National Report on the implementation of the Programme of Action on small arms and light weapons (PoA) and the International Tracing Instrument (ITI)

United Kingdom of Great Britain and Northern Ireland English
SUBMITTED

# Section 1: Point of contact

Sources	Question	Yes	No	Developing
	National Coordination Agency			
PoA II.4	1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects?	$\subseteq$		
	a) Name of agency:			
	National Crime Agency (NCA) and National Ballistics Intelligence Service (NABIS)			
	b) Address:			
	NABIS c/o West Midlands Police Headquarters, Force Intelligence, P.O. Box 52, Lloyd House, Colmore Circus, Queensway, Birmingham, B4 6NQ, UK			
	c) Contact details:			
	i) Contact person:			
	Christopher Steele and Ian Head			
	ii) Telephone number(s):			
	+44 (0) 1925 785726 and +44 (0) 121 626 7156			
	iii) Email:			
	Christopher.steele@nca.x.gsi.gov.uk and Ian.Head@west-midlands.pnn.police.uk			
	National Point of Contact			
PoA II.5, 24	2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)?	$\subseteq$		
	2.1 Details:			
	a) Name:			
	Caroline Payne			
	b) Organization or agency:			
	Counter Proliferation and Arms Control Centre (CPACC)			
	c) Address:			
	Ministry of Defence, Whitehall, SW1A 2HB, UK			
	d) Telephone number(s):			
	+44 (0) 207 008 6399			
	e) Email:			
	Caroline.Payne@fco.gov.uk			
ITI 25	2.2 Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)?			

111 25	2.3 If the answer to Question 2.2 is 'no', does your country have a National Point of Contact for purposes of exchanging information and liaising on all matters relating to the ITI?	$\checkmark$	
	2.3.1 Details:		
	a) Name:		
	Robert Oxlade, Head of Customs Enforcement Policy, Customs Directorate		
	b) Organization or agency:		
	HM Revenue & Customs		
	c) Address:		
	3E/10, 100 Parliament Street, Whitehall, London, SW1A 2BQ		
	d) Telephone number(s):		
	+44 (0) 3000 585 754		
	e) Email:		

Robert.Oxlade@hmrc.gsi.gov.uk

# Section 2: Manufacture

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
	3. Are there any SALW manufactured in your country?	$\checkmark$	
PoA II.2	3.1 Does your country have laws, regulations and/or administrative procedures to exercise effective control over the manufacture of SALW?		
	3.1.1 List laws, regulations and/or administrative procedures regulating the manufacture of SALW in your country:		
	Firearms Act 1968; Gun Barrel Proof Acts		
	3.1.2 Does your country license the manufacture of SALW?		
PoA II.3	3.1.3 Is illegal manufacture of SALW considered a criminal offence in your country?	$\checkmark$	
	Marking and manufacture		
PoA II.7: ITI 8a	3.2 Does your country require that SALW be marked at the time of manufacture?		
ITI 8a	3.2.1 What information is included in the marking (check relevant boxes)?		
	a) Name of the manufacturer	$\checkmark$	
	b) Country of manufacture		
	c) Serial number		
	d) Year of manufacture		
	e) Weapon type/model		
	f) Caliber	$\checkmark$	
	g) Other:		
	[if other, please explain]		
ITI 10a	3.2.2 What part of the SALW is marked?		
	Depends on the weapon		
	3.2.3 Are there exceptions to the requirement to mark SALW at the time of manufacture?		$\subseteq$
	3.2.3.1 If so, describe:		
	Record-keeping by manufacturers		
PoA II.9: ITI			
11	3.3 Does your country require that manufacturers keep records of their activities?		J
ITI 12a	3.3.1 What information must be recorded (check relevant boxes)?		
	a) Quantity of SALW manufactured	$\subseteq$	

	b) Type or model of SALW manufactured		
	c) Markings applied to manufactured SALW	$\checkmark$	
	d) Transactions (e.g. sales of manufactured and marked SALW)	$\checkmark$	
	e) Other:		
	[if other, please explain]		
ITI 12a	3.3.2 How long must manufacturing records be kept?		
	Other		
	[if other, please explain]		
	5 years		
	Actions taken during the reporting period		
PoA II.6	3.4 During the reporting period, was action taken against groups or individuals engaged in illegal manufacturing of SALW (e.g. craft manufacturing)?		
	3.4.1 Details (e.g. prosecution):		
	Individuals prosecuted for unlawful conversion of blank firing firearms		
	International assistance		
PoA III.6	4. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture?		$\checkmark$
	4.1 What kind of assistance do you require?		
	4.2 Has your country developed a project proposal for assistance?		

# **Section 3: International transfers**

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.2, 12	5. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?		
PoA II.11	5.1 List laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW.		
	Export and Transit The Export Control Act 2002 (Primary legislation) The Export Control Order 2008 (Secondary legislation) Import Import, Export and Custom Powers (Defence) Act 1939 (Primary legislation) Import of Goods (Control) Order 1954 (Secondary legislation) Export and Import (EC) The EC Weapons Directive (91/477/EEC) as amended by (2008/51/EC) EU Firearms Regulation No. 258/2012		
PoA II.11	5.2 Does a person or an entity who transfers SALW require a license or other form of authorisation to transfer SALW from/into your country?		
PoA II.3	5.3 Is it a criminal offence to trade SALW without a license or authorisation, or to do so in a manner that is in contradiction to the terms of a license or authorisation, in your country?		
PoA II.11	5.4 What kind of documentation does your country require prior to authorising an export of SALW to another country?		
PoA II.12	a) An end-user certificate (EUC) from the importing country.	$\checkmark$	
	i) What elements does an end-user certificate in your country contain (check relevant boxes)?		
	1) Detailed description (type, quantity, characteristics) of the SALW or technology		
	2) Contract number or order reference and date		
	3) Final destination country	$\checkmark$	
	4) Description of the end-use of the SALW	$\checkmark$	
	5) Exporter's details (name, address and business name)	$\checkmark$	
	6) End-user information (name, position, full address and original signature)		
	7) Information on other parties involved in the transaction	$\checkmark$	
	8) Certification by the relevant government authorities of the authenticity of the end-user	$\subseteq$	
	9) Date of issue	$\checkmark$	
	10) Other:	$\checkmark$	
	[if other, please explain]		

(1) Copy of relevant purchase order / contract where the consignee is a government body. (2) Special arrangements are in place for small arms under the terms of the EC Weapons Directive where a Prior Import Consent in lieu of an EUU is required from another MS. (3) For SALW exports outside the EU

# b) Other types of end-user documentation:

In certain circumstances, one of the following two alternatives may be accepted: • if the foreign buyer is a government body, then a purchase order or a copy of relevant pages from contracts may be accepted in lieu of an EUU • if the foreign importer can supply an International Import Certificate, this may be accepted in lieu of an EUU A Prior Import Consent in lieu of an EUU is required from the appropriate European Union member state when applying for an export licence for small arms export.

	appropriate European Union member state when applying for an export licence for small arms export.		
PoA II.12	5.5 Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided?	$\checkmark$	
	5.5.1 Details:		
	Further enquiries undertaken in cases of suspicion		
	5.6 Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation?		
	5.6.1 Details:		
	Further enquiries undertaken in cases of suspicion		
	5.7 When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?		$\overline{\checkmark}$
	5.8 After exporting, does your country verify or seek to authenticate DVCs provided?		$\subseteq$
	5.8.1 Details:		
	5.9 When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery?		abla
ITI 8b	5.10 Does your country require that SALW imported into your country be marked at the time of import?		abla
	5.10.1 Who is required to mark the SALW?		

	5.10.2 What information is included in the marking on import (check relevant boxes)?		
	a) Country of import		
	b) Year of import		
	c) Other:		
	[if other, please explain]		
	5.10.3 Are there exceptions to the requirement to mark imported SALW?		
	5.10.3.1 If so, describe:		
	5.10.4 If marked SALW imported into your country do not bear a unique marking when they arrive, does your country require that they be given such a marking?		
	5.10.4.1 Details:		
PoA II.9: ITI 12	5.11 Does your country require that exporters and importers of SALW keep records of their activities?		
	5.11.1 What information must be recorded (check relevant boxes)?		
	a) Quantity of SALW traded		
	b) Type or model of SALW traded		
	c) Markings appearing on transferred SALW		
	d) Transactions		
	i) Identity of buyer/seller		
	ii) Country SALW are to be delivered to or purchased from	$\checkmark$	
	iii) Date of delivery		
	e) Other:		
	[if other, please explain]		
	5.11.2 How long must records of transfers be kept?		
	Other		
	[if other, please explain]		
	5 years		
PoA II.6	5.12 During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)	$\subseteq$	
	5.12.1 Details:		

Weapons seizures and prosecution of individuals and groups involved in illicit trafficking of weapons and ammunition to and from the UK.

	International assistance	
PoA III.6	6. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?	$\subseteq$
	6.1 What kind of assistance do you require?	
	6.2 Has your country developed a project proposal for assistance?	

# Section 4: Brokering

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.14	7. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW?	$\subseteq$	
	7.1 List laws and/or administrative procedures regulating SALW brokering in your country.		
	The Export Control Act 2002, The Export Control Order 2008		
	7.2 Does your country require registration of SALW brokers?		
	7.3 Does your country require a license, permit or other authorisation for each brokering transaction?		
GGE Report para 44	7.4 Does your country regulate activities that are closely associated with the brokering of SALW?		
	7.4.1 If so which of the following activities are regulated when undertaken in connection with the brokering of SALW (check relevant boxes)?		
	a) Acting as dealers or agents	$\checkmark$	
	b) Providing technical assistance		
	c) Training	$\checkmark$	
	d) Transport	$\checkmark$	
	e) Freight forwarding	$\subseteq$	
	f) Storage	$\subseteq$	
	g) Finance		
	h) Insurance		
	i) Maintenance	$\checkmark$	
	j) Security	$\checkmark$	
	k) Other services:		
	[if other, please explain]		
	7.5 During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)?	$\checkmark$	
	7.5.1 Details:		
	The UK maintains a risk-based and intelligence-led approach to monitoring UK persons suspected of breaching the UK's brokering legislation and has conducted a range of interventions to disrupt this activity		

International assistance

PoA III.6	8. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to regulate SALW brokering?	
	8.1 What kind of assistance do you require?	
	8.2 Has your country developed a project proposal for assistance?	

# Section 5: Stockpile management

PoA II.17   9. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW?   PoA II.17   9. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?   a) Appropriate locations for stockpiles   b) Physical security measures   c) Control of access to stocks   d) Inventory management and accounting control   e) Staff training   f) Security, accounting and control of SALW held or transported by operational units or authorised personnel   g) Procedures and sanctions in the event of theft or loss   d) Inventory because explain   for their, please explain   fo	Sources	Question	Yes	No
security of SALW held by the armed forces, police or any other entity authorised to hold SALW?  PoA II.17  9.1 If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?  a) Appropriate locations for stockpiles b) Physical security measures c) Control of access to stocks d) Inventory management and accounting control c) Staff training f) Security, accounting and control of SALW held or transported by operational units or authorised personnel g) Procedures and sanctions in the event of theft or loss h) Other: [if other, please explain]  PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)? a) Officially declare as surplus b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately e) Other: [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)? a) Destruction b) Sale to another State c) Donation to another State		Laws, regulations and administrative procedures		
procedures (check relevant boxes)?  a) Appropriate locations for stockpiles b) Physical security measures c) Control of access to stocks d) Inventory management and accounting control e) Staff training f) Security, accounting and control of SALW held or transported by operational units or authorised personnel g) Procedures and sanctions in the event of theft or loss h) Other: [if other, please explain]  PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)? a) Officially declare as surplus b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately e) Other: [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)? a) Destruction b) Sale to another State c) Donation to another State	PoA II.17	security of SALW held by the armed forces, police or any other entity authorised to	$\subseteq$	
b) Physical security measures c) Control of access to stocks d) Inventory management and accounting control c) Staff training f) Security, accounting and control of SALW held or transported by operational units or authorised personnel g) Procedures and sanctions in the event of theft or loss h) Other: [if other, please explain]  PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)? a) Officially declare as surplus b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately e) Other: [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)? a) Destruction b) Sale to another State c) Donation to another State	PoA II.17			
e) Control of access to stocks  d) Inventory management and accounting control e) Staff training f) Security, accounting and control of SALW held or transported by operational units or authorised personnel g) Procedures and sanctions in the event of theft or loss h) Other: [if other, please explain]  PoA, II.18 9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)? a) Officially declare as surplus b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately e) Other: [if other, please explain]  PoA, II.18 9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)? a) Destruction b) Sale to another State c) Donation to another State		a) Appropriate locations for stockpiles		
d) Inventory management and accounting control e) Staff training f) Security, accounting and control of SALW held or transported by operational units or authorised personnel g) Procedures and sanctions in the event of theft or loss h) Other: [if other, please explain]  PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)? a) Officially declare as surplus b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately e) Other: [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)? a) Destruction b) Sale to another State c) Donation to another State		b) Physical security measures		
e) Staff training  f) Security, accounting and control of SALW held or transported by operational units or authorised personnel  g) Procedures and sanctions in the event of theft or loss  h) Other:  [if other, please explain]  PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?  a) Officially declare as surplus  b) Take out of service  c) Record by type, lot, batch, and serial number  d) Store separately  e) Other:  [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State		c) Control of access to stocks	$\subseteq$	
f) Security, accounting and control of SALW held or transported by operational units or authorised personnel  g) Procedures and sanctions in the event of theft or loss  h) Other:  [if other, please explain]  PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?  a) Officially declare as surplus  b) Take out of service  c) Record by type, lot, batch, and serial number  d) Store separately  e) Other:  [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State		d) Inventory management and accounting control	$\subseteq$	
units or authorised personnel  g) Procedures and sanctions in the event of theft or loss  h) Other:  [if other, please explain]  PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?  a) Officially declare as surplus  b) Take out of service  c) Record by type, lot, batch, and serial number  d) Store separately  e) Other:  [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State		e) Staff training	$\subseteq$	
h) Other: [if other, please explain]  PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?  a) Officially declare as surplus b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately e) Other: [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction b) Sale to another State c) Donation to another State			$\checkmark$	
[if other, please explain]  PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?  a) Officially declare as surplus  b) Take out of service  c) Record by type, lot, batch, and serial number  d) Store separately  e) Other:  [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State		g) Procedures and sanctions in the event of theft or loss	$\subseteq$	
PoA, II.18  9.2 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?  a) Officially declare as surplus  b) Take out of service  c) Record by type, lot, batch, and serial number  d) Store separately  e) Other:  [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State		h) Other:		
regard to the surplus (check relevant boxes)?  a) Officially declare as surplus  b) Take out of service  c) Record by type, lot, batch, and serial number  d) Store separately  e) Other:  [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State		[if other, please explain]		
b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately e) Other: [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)? a) Destruction b) Sale to another State c) Donation to another State	PoA, II.18			
b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately e) Other: [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)? a) Destruction b) Sale to another State c) Donation to another State			<b>\</b>	
d) Store separately e) Other: [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State c) Donation to another State			_	
e) Other:  [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State				
e) Other:  [if other, please explain]  PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State		d) Store separately	— [✓]	
PoA, II.18  9.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State				
check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State		[if other, please explain]	_	
check relevant boxes)?  a) Destruction  b) Sale to another State  c) Donation to another State				
b) Sale to another State	PoA, II.18			
c) Donation to another State		a) Destruction	$\checkmark$	
		b) Sale to another State		
d) Transfer to another state agency		c) Donation to another State		
		d) Transfer to another state agency		

	e) Sale to civilians		
	f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.)	$\checkmark$	
	g) Other:		
	[if other, please explain]		
PoA II.19	9.4 During the biennial reporting period, has your country destroyed surplus stocks?	<b>\</b>	
10/11/19	9.4.1 How many SALW were destroyed?	Ŭ	
	i) First reporting year (2016)		
	2063		
	ii) Second reporting year (2017)		
	3668		
	9.4.2 Any further comments regarding destruction?		
	International assistance		
PoA II.29: III.6	10. Does your country wish to request assistance in developing standards and procedures on stockpile management?		$\checkmark$
	10.1 What kind of assistance do you require?		
	10.2 Has your country developed a project proposal for assistance?		
	International assistance		
PoA III.6: 14	11. Does your country wish to request assistance in developing capacity for the destruction of weapons?		$\checkmark$
	11.1 What kind of assistance do you require?		
	11.2 Has your country developed a project proposal for assistance?		

**Section 6: Collection** 

Sources	Question	Yes	No
	Collection		
	12. During the reporting period, did your country collect any SALW?	$\subseteq$	
	12.1 How many SALW were collected? [click No if data is not available: go to 13]		
	i) First reporting year (2016)		
	3988		
	ii) Second reporting year (2017)		

12.1.1 What action was taken with respect to the SALW collected? Provide numbers of weapons collected. [click No if data is not available: go to 13]

	SALW collected		
Year	i) First reporting year (2016)	ii) Second reporting year (2017)	
12.1 Collected	3988		
12.1.1 Action taken			
a) Marked			
b) Recorded	3988		
c) Destroyed			
d) Trace request issued	2277		
e) Other action [specify]:			
f) No action taken (only stored)			

 $12.1.2\ If$  further breakdown of collected SALW is available, specify and provide numbers: [click No if data is not available: go to 13]

Year	i) First reporting year (2016)	ii) Second reporting year (2017)
a) How many SALW were seized?	2557	
b) How many SALW were surrendered?	850	
c) How many SALW were found?	581	

12.1.3 What action was taken with respect to the SALW seized, surrendered or found? Specify and provide numbers. [click No if data is not available: go to 13]

	SALW seized		SALW surrendered		SALW found	
Year	i) First reporting year (2016)	ii) Second reporting year (2017)	i) First reporting year (2016)	ii) Second reporting year (2017)	i) First reporting year (2016)	ii) Second reporting year (2017)
12.1.2 seized / surrendered / found	2557		850		581	
12.1.3 Action taken						
a) Marked						
b) Recorded	2557		850		581	
c) Destroyed						
d) Trace request issued	1546		389		342	
e) Other action: [specify]						
f) No action taken (only stored)						

# International assistance

PoA III.6	13. Does your country wish to request assistance in building capacity for collection of the illicit SALW?	$\subseteq$	
	13.1 What kind of assistance do you require?		
	13.2 Has your country developed a project proposal for assistance?		

# Section 7: Making and record-keeping

Sources	Question	Yes	No
	Marking		
ITI 8d	14. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?	$\checkmark$	
	14.1 Describe the markings that are applied to government-held stocks.		
	1) Name of manufacturer 2) Serial number 3) Weapon type/model 4) Calibre 5) Proofing (testing)		
ITI 8c	14.2 When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks?		
	Marking		
ITI 8e	15. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?		
	15.1 Details:		
	Record keeping		
PoA II.9	16. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory?	$\checkmark$	
	16.1 What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licenses granted, sales to other States, SALW held by State agencies such as the armed forces etc)?		
	Import/export licences. SALW held by Armed Forces		
ITI 12 a,b	16.2 How long does the government keep such records? [please detail]		
	Indefinitely		
ITI 13	16.3 In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting, etc) required to submit all records held by them to the government?	$\square$	
	International assistance		
PoA III.6, ITI 27	17. Does your country wish to request assistance in building capacity for marking and/or record-keeping?		$\subseteq$
	17.1 What kind of assistance do you require?		
	17.2 Has your country developed a project proposal for assistance?		

# **Section 8: International tracing**

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.10: ITI 14, 24	18. Does your country have procedures in place to trace SALW?	riangle	
ITI 25: 31a	18.1 Which government agency is responsible for making a tracing request to another country?		
	National Ballistics Intelligence Service (NABIS)		
ITI 17	18.2 What information does the designated agency include in a tracing request? (check relevant boxes)		
	a) Circumstances under which the SALW was found	$\square$	
	b) Reasons why the SALW is considered to be illegal or illicit	$\subseteq$	
	c) The intended use of the information being sought	$\square$	
	d) Any markings on the SALW	$\square$	
	e) Type/calibre of SALW	$\square$	
	f) Other:		
	[if other, please explain]		
	Cooperation with INTERPOL		
PoA II.37: ITI 33	19. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL)?	$\checkmark$	
	International assistance		
PoA III.9	20. Does your country wish to request assistance in developing procedures to trace SALW?		
PoA II.36: III.6:ITI 27	20.1 What kind of assistance do you require?		
	20.2 Has your country developed a project proposal for assistance?		
	International assistance		
	21. Has your country considered providing assistance to examine technologies to improve the tracing and detection of illicit SALW?	$\checkmark$	
PoA III.10: ITI 28	21.1 Details:		
	Action through EU Police Cycle (EMPACT)		

Section 9: International cooperation and assistance

Sources	Question	Yes	No
	Assistance requested/received/provided		
PoA III.3, 6	22. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI? [if no, proceed to end of Reporting Tool]	riangle	
	22.1 If so, in what areas (check relevant boxes)?		
	a. Establishing/designating National Coordination Agency/National Point of Contact		
	a) Nature of the assistance:		
	i) financial: (select appropriate)		
	ii) technical: (select appropriate)		
	b) Amount of assistance provided/received (if financial):		
	c) Description of the assistance activity:		
	d) Duration of the assistance provided/received:		
	e) State(s) or organization(s) that provided/received the assistance:		
	b. Disarmament, demobilization and reintegration (DDR)		
	Provided		
	a) Nature of the assistance:		
	i) financial: (select appropriate)		
	Provided		
	ii) technical: (select appropriate)		
	Provided		
	b) Amount of assistance provided/received (if financial):		
	\$420m to UN Peacekeeping operations, which include DDR activities.		
	c) Description of the assistance activity:		
	Support UN led DDR activities		
	d) Duration of the assistance provided/received:		
	Ongoing		
	e) State(s) or organization(s) that provided/received the assistance:		
	The LIN and regional organisations		

The UN and regional organisations

c. Capacity-building and training on SALW issues

# Provided

- a) Nature of the assistance:
  - i) financial: (select appropriate)

Provided

ii) technical: (select appropriate)

Provided

- b) Amount of assistance provided/received (if financial):
- c) Description of the assistance activity:

The UK provides a wide range of SALW related capacity building delivered as part of defence and security sector reform, counter-proliferation and conflict prevention and resolution.

d) Duration of the assistance provided/received:

#### Ongoing

e) State(s) or organization(s) that provided/received the assistance:

Projects in Africa, Middle East, Central and South America and the OSCE region.

d. Law enforcement

### Provided

- a) Nature of the assistance:
  - i) financial: (select appropriate)

Provided

ii) technical: (select appropriate)

Provided

- b) Amount of assistance provided/received (if financial):
- c) Description of the assistance activity:

The UK provides a wide range of SALW related capacity building delivered as part of security sector reform, counter-proliferation, counter terrorism and conflict prevention and resolution.

d) Duration of the assistance provided/received:

# Ongoing

e) State(s) or organization(s) that provided/received the assistance:

Projects in Africa, Middle East, Central and South America and the OSCE region.

e. Customs and borders

### Provided

- a) Nature of the assistance:
  - i) financial: (select appropriate)
  - ii) technical: (select appropriate)

### Provided

- b) Amount of assistance provided/received (if financial):
- c) Description of the assistance activity:

HM Revenue and Customs regularly seeks opportunities to strengthen international arms control enforcement, including through the enforcement working groups of the international export control regimes, and through bilateral and multi-lateral outreach, capacity building and training programmes.

d) Duration of the assistance provided/received:

# Ongoing

- e) State(s) or organization(s) that provided/received the assistance:
- f. Action-oriented research
  - a) Nature of the assistance:
    - i) financial: (select appropriate)
    - ii) technical: (select appropriate)
  - b) Amount of assistance provided/received (if financial):
  - c) Description of the assistance activity:
  - d) Duration of the assistance provided/received:
  - e) State(s) or organization(s) that provided/received the assistance:
- g. Children/youth

### Provided

- a) Nature of the assistance:
  - i) financial: (select appropriate)

### Provided

ii) technical: (select appropriate)

Provided

b) Amount of assistance provided/received (if financial):

# £15,000,000

c) Description of the assistance activity:

As part of the Ending Violence, Abuse and Exploitation of Children (EVAEC) programme, the UK will support efforts to prevent and respond to violence, abuse and exploitation of children, including child soldiers, in support of SDG 16.2

d) Duration of the assistance provided/received:

### Oct 2017-Mar 2020

e) State(s) or organization(s) that provided/received the assistance:

#### UNICER

h. Awareness-raising

### Provided

- a) Nature of the assistance:
  - i) financial: (select appropriate)

### Provided

ii) technical: (select appropriate)

### Provided

- b) Amount of assistance provided/received (if financial):
- c) Description of the assistance activity:

The UK provides a wide range of SALW related awareness-raising activities as part of conflict prevention and resolution, peace and security, including supporting post-conflict peace building,

- d) Duration of the assistance provided/received:
- e) State(s) or organization(s) that provided/received the assistance:

Various projects in Africa and the Middle East,

i. Organized crime, drug trafficking and terrorism

# Provided

- a) Nature of the assistance:
  - i) financial: (select appropriate)

### Provided

ii) technical: (select appropriate)

#### Provided

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

The UK provides a wide range of capacity building support delivered as part of security sector reform, counter terrorism and conflict prevention and resolution.

d) Duration of the assistance provided/received:

# Ongoing

e) State(s) or organization(s) that provided/received the assistance:

Bilateral cooperation with various countries in Africa, Middle East, Central and South America and the OSCE region.

j. Other

Specify:

- a) Nature of the assistance:
- i) financial: (select appropriate)
- ii) technical: (select appropriate)
- b) Amount of assistance provided/received (if financial):
- c) Description of the assistance activity:
- d) Duration of the assistance provided/received:
- $e) \ State(s) \ or \ organization(s) \ that \ provided/received \ the \ assistance:$

# Section 10: Information and files to be submitted

Sources	Question	Yes	No
	Information on national marking practice		
ITI 31	23. Pursuant to paragraph 31 of the International Tracing Instrument, States will provide the Secretary-General with the following information, updating it when necessary:		
ITI 31	<ul> <li>a) National marking practices related to marking used to indicate country of manufacture and/or country of import as applicable.</li> </ul>		
	b) Please upload/attach information such as images and illustrations:		
	Uploaded information will be shared with INTERPOL, the global hub for firearms and ammunition databases (www.interpol.int/INTERPOL-expertise/Databases).		
	Gender considerations		
BMS6 outcome 59	24. Does your country take into account gender considerations, including promotion of the meaningful participation and representation of women, in policymaking, planning and implementation processes related to the Programme of Action?	$\subseteq$	
	24.1 Details: [if yes](e.g. percentage of members of the National Commission on SALW who are female, participation in national decision-making on SALW control by the government ministry responsible for women's affairs, participation of women's organisations in programmes relating to awareness-raising, community safety and armed violence reduction).		
	The UK Government has a strong track record on women's empowerment and we are continuing to work to remove barriers that stop women participating in the workforce. Gender equality policy is mainstreamed across Government Departments. The Public Sector Equality Duty (at Section 149 of the Equality Act 2010) requires all Departments to consider the impact of their policies on groups with protected characteristics, including gender.		
	The Government Equalities Office (GEO), is the lead department with responsibility for gender equality policy and equality legislation.		
	Gender considerations		
BMS6 outcome 60	25. Does your country collect disaggregated data on gender and the illicit trade in small arms and light weapons?		
	25.1 Details: [if yes] (e.g. percentage and number of women participating in weapons collection/destruction programmes; gender-specific impacts of small arms and light weapons; small arms ownership; homicide; domestic violence.)		
	Additional information		
ITI 31	26. Any further comments on PoA and ITI, including implementation challenges and opportunities? [Please detail]		

Please upload/attach additional files (e.g. views on the implementation of the PoA and ITI, a national action plan, project proposals, a list of projects implemented and financial contributions provided):