Section 1: Points of contact

Sources	Question	Yes	No	Develop ing
	National Coordination Agency			
PoA II.4	1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects?			
	[if no, go to 2]			
	a) Name of agency:			
	b) Address:			
	c) Contact details:			
	i) Contact person:			
	ii) Telephone number(s):			
	iii) Fax number:			
	iv) Email:			
	National Point of Contact			
PoA II.5, 24	2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)?			
	[if no, go to 2.3]			
	2.1 Details:			
	a) Name: Non-Proliferation and Disarmament Division (IDA)			
	b) Organization or agency: Foreign Affairs and International Trade Canada			
	c) Address: 125 Sussex Drive, Ottawa, ON, Canada, K1A 0G2			
	d) Telephone number(s): (613) 992-3430			
	e) Fax number: (613) 944-3105			
	f) Email: ida@international.gc.ca			

Sources	Question	Yes	No	Develop ing
ITI 25	2.2 Is the National Point of Contact identified a exchanging information and liaising on matters relationstrument (ITI)?			
	Please note that for tracing requests, the point	nt of contact is as follows:		
	Canadian National Firearms Tracing Centre Royal Canadian Mounted Police 73 Leikin Dr., M3, 2 nd Floor, Suite 303, Mail Telephone: (613) 843-6690 Fax: (613) 825-8423 Email (unit manager): real.boivin@rcmp-grc Email (general office): NFTU@rcmp-grc.gc.0	e.gc.ca		
ITI 25	2.3 If the answer to Question 2.2 is 'no', does not contact for purposes of exchanging information to the ITI? 2.3.1 Details			
	a) Name:			
	b) Organization or agency:			
	c) Address:			
	d) Telephone number(s):			
	e) Fax number:			
	f) Email:			
GGE Report para 63(ix)	3. Is the National Point of Contact identified above responsible for exchanging information and liaising in SALW?			
	3.1 If not, does your country have a focal poexchanging information and liaising on matt SALW?			
	3.1.1 Details			
	a) Name:			
	b) Organization or agency:			
	c) Address:			
	d) Telephone number(s):			
	e) Fax number:			
	f) Email:			

Section 2: Manufacture

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
	4. Are there any SALW manufactured in your country?	\boxtimes	
	Canadian companies produce firearms for civilian markets both in Canada and abroad. One manufacturer in Canada produces automatic weapons for the Canadian Forces and for export to other governments or government-authorized users.	l	
	[if no, go to 5]		
PoA II.2	4.1 Does your country have laws, regulations and/or administrative procedures to exercise effective control over the manufacture of SALW?		
	[if no, go to 4.2]		
	4.1.1 List laws, regulations and/or administrative procedures regulating the manufacture of SALW in your country;		
	Firearms Act		
	Criminal Code of Canada		
	Defence Production Act		
	4.1.2 Does your country license the manufacture of SALW?	\boxtimes	
	Businesses manufacturing firearms are required to obtain firearms business licences. The Chief Firearms Officer of the province in which the business is situated may issue firearms business licences for specific activities, including the manufacturing of firearms. Business activities involving prohibited firearms ¹ may be conducted only for purposes prescribed by regulation, such as manufacture for governmental use.		
PoA II.3	4.1.3 Is illegal manufacture of SALW considered a criminal offence in your country?	\boxtimes	

There are three classes of firearms in Canada: "prohibited", "restricted" and "non-restricted". All automatic firearms fall within the "prohibited" category. Certain types of handguns, carbines, rifles and shotguns are also prohibited. Handguns that don't fall within the "prohibited" category and certain long guns are restricted firearms. All other firearms fall within the non-restricted category.

Sources (Duestion	Yes	No

Manufacturing firearms, prohibited or restricted weapons, prohibited devices or any ammunition is an offence under section 99 of the *Criminal Code of Canada* unless otherwise authorized under the *Firearms Act* or any other Act of Parliament or regulation. Convictions carry sentences of one to ten years imprisonment.

Marking at manufacture

PoA II.7; ITI 8a 4.2 Does your country require that SALW be marked at the time of manufacture?

Canada's *Firearms Act* requires that restricted and prohibited firearms be uniquely identified for the purpose of registration. Typically these firearms would bear a permanently marked serial number or unique Firearms Identification Number issued by the Registrar of Firearms.

Firearms Marking Regulations that were scheduled to come into effect on December 1, 2010 were deferred to December 1, 2012, to provide sufficient time to examine additional marking options not previously considered.

All small arms and light weapons purchased by the Department of National Defence (DND) are marked with a unique serial number applied by the manufacturer. If applicable, the small arm is clearly identified as a Canadian Forces Weapon with its calibre also marked. Each firearm is also marked Made in Canada, with the logo of Colt Canada. DND utilizes the unique serial numbers to manage and account for all new small arms in its inventories and records lists of previous manufacturers' serial number rationalizations in order to support the management of older small arms.

The firearms of Canada's national police service, the Royal Canadian Mounted Police (RCMP), are marked with the manufacturer's information and a unique serial number. Where large qualities of firearms are purchased, the firearms are also marked as belonging to the RCMP.

ITI 8a	4.2.1 What information is included in the marking (check re	levant boxes)?
	a) Name of the manufacturer	
	b) Country of manufacture	
	c)Serial number	
	d) Year of manufacture	
	e) Weapon type/model	
	f) Caliber	П

[if other, please explain]

g) Other;

Sources	Question	Yes	No
ITI 10a	4.2.2 What part of the SALW is marked?		
	4.2.3 Are there exceptions to the requirement to mark SALW at the time of manufacture?		
	4.2.3.1 If so, describe		
	Record-keeping by manufactures		
PoA II.9; ITI 11	4.3 Does your country require that manufacturers keep records of their activities?	\boxtimes	
	Pursuant to subsection 58(1) of the <i>Firearms Act</i> , a chief firearms officer may attach any reasonable condition on a business licence in the interest of public safety. These conditions may include the requirement for businesses to keep written records of restricted and prohibited firearms relating to the manufacture, assembly, possession, purchase, sale, importation, exportation, display, repair, restoration, maintenance, storage, alteration, pawnbroking, transportation, shipping, distribution or delivery of these items.		
	Manufacturers are required to register their restricted and prohibited firearms. The Canadian firearms registry keeps records of these registrations indefinitely.		
	[if no, go to 4.4]		
TI 12a	4.3.1 What information must be recorded (check relevant boxes)?		
	a) Quantity of SALW manufactured	\boxtimes	
	b) Type or model of SALW manufactured	\boxtimes	
	c) Markings applied to manufactured SALW	\boxtimes	
	d) Transactions (e.g. sales of manufactured and marked SALW)	\boxtimes	
	e) Other;		
	[if other, please explain]		
ITI 12a	4.3.2 How long must manufacturing records be kept?		
	a) Indefinitely		
	b) 30 years		
	c) Other;	\boxtimes	
	The <i>Firearms Act</i> and the <i>Firearms Records Regulations</i> do not stipulate how long manufacturers are required to maintain records. However, manufacturers are required to register their restricted and prohibited firearms. The Canadian firearms registry keeps records of these registrations indefinitely.	i	

Sources	Question	Yes	No
	Actions taken during the reporting period		
PoA II.6	4.4 During the reporting period, was action taken against groups or individuals engaged in illegal manufacturing of SALW (e.g. prosecution)?[if no go to 5]4.4.1 Details. [if yes]		
	International assistance		
PoA III.6	5. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture? [if no, go to 6]		
	5.1 What kind of assistance do you require?		
	5.2 Has your country developed a project proposal for assistance?		

Section 3: International transfers

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.2, 12	6. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW? [if no, go to 7]		
PoA II.11	6.1 List laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW.	r	
	Export and Import Permits Act Export Permits Regulations Export Control List Import Permits Regulations Import Control List Transhipment Regulations Customs Tariff Automatic Firearms Country Control List		
	Licensing and authorisation		
PoA II.11	6.2 Does a person or an entity who transfers SALW require a licence or other form of authorisation to transfer SALW from/into your country?		
	A permit is required for the export of all SALW to any destination, with one exception; for exports to the United States, a permit is required only for the export of prohibited firearms. In addition, permits to export automatic firearms can be issued only for export to destinations that are included on the Automatic Firearms Country Control List (AFCCL).		
	Canadian policy allows for individuals and businesses to import firearms, other than prohibited firearms, without an import permit; however, firearms importe for police or military use require an import permit. Individuals and businesses importing firearms into Canada must hold a valid firearms licence for the type of firearms being imported. Additionally, an Authorization to Transport and a registration certificate are required in order to import restricted and prohibited firearms.	d	

Sources	Question	Yes	No
PoA II.3	6.3 Is it a criminal offence to trade SALW without a licence or authorisation, or to do so in a manner that is in contradiction to the terms of a licence or authorisation, in your country?		
	Several provisions of the <i>Criminal Code of Canada</i> relate to the unauthorized transfer of firearms. Penalties range from fines to imprisonment for up to 10 years, and in the case of weapons trafficking, a minimum term of one year in prison.		
	Penalties for contraventions of the <i>Export and Import Permits Act</i> or its regulations are as follows: for an offence punishable on summary conviction, a fine of up to \$25,000 or imprisonment for up to 12 months, or both; and for an indictable offence, a maximum fine set by the court or imprisonment for a maximum of 10 years, or both.		
PoA II.11	6.4 Name the relevant international commitments that your Government applies or considers when assessing an application for export authorisation.		
	UN Programme of Action on SALW Wassenaar Arrangement commitments Inter-American Convention Against Illicit Manufacturing and Trafficking in Firearms, CIFTA (Canada has signed, but not ratified)		
	6.5 What kind of documentation does your country require prior to authorising an export of SALW to another country?		
PoA II.12	a) An end-user certificate (EUC) from the importing country.		
	[if no, go to 6.5 b]		
	i) What elements does an end-user certificate in your country		
	contain (check relevant boxes)?		
	1) Detailed description (type, quantity, characteristics) of the		
	SALW or technology		
	2) Contract number or order reference and date		
	3) Final destination country		
	4) Description of the end-use of the SALW		
	5) Exporter's details (name, address and business name)		
	6) End-user information (name, position, full address and original signature)		
	7) Information on other parties involved in the transaction		
	8) Certification by the relevant government authorities of the authenticity of the end-user		
	9) Date of issue		

Sources	Question	Yes	No
	10) Other;		
	b) Other types of end-user documentation;		
	Exporters must present a copy of a valid import authorization from the country to what are destined. Import authorizations may take the form of End-Use Certificates, Intern Certificates, Firearms Import Permits or Import Licences.		
	6.6 When exporting, does your country place any restriction on re-export of SALW?		\boxtimes
	There is no provision in Canadian legislation to regulate subsequent transfers (reexports). However, end-use assurance documents are expected to include declarations that the imported goods will not be re-exported for any reason or, alternatively, that any re-export or transfer would be in accordance with the export control laws of the country of the end user. In addition, for items falling within the scope of the Automatic Firearms Country Control List (AFCCL), there are specific prohibitions which include causing or assisting any shipment of specified items from Canada, or any place, to any country that is not included on the AFCCL.		
	If so, what are the restrictions placed on re-export?		
	a) Re-export permitted only when there is prior notification		
	b) Re-export permitted only when there is prior approval		
PoA II.12	6.7 Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided? [if no, go to 6.8] 6.7.1 Details: [if yes]		
	EUCs and other documents may be verified on a case-by-case basis via consultat through Canadian missions or other sources.	ations	;
	6.8 Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation?		
	6.8.1 Details: [if yes]		
	6.9 Does your country permit the export of SALW without a licence or under simplified procedure under certain circumstances?	d 🖂	
	6.9.1 If so, under what circumstances?		
	a) Peacekeeping		
	b) Temporary exports		
	c) Equipment needed for training exercises		
	d) Equipment needed for repair		

Sources	Question	Yes	No
	e) Delivery of spare parts		
	f) Other	\boxtimes	
	For exports to the United States, a permit is required only for the export of prohibited firearms.		
	A permit is not required where a Government of Canada department/agency is acting as the exporter of record.		
	Post-delivery controls		
	6.10 When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?		
	6.11 After exporting, does your country verify or seek to authenticate DVCs provided?		
	6.11.1 Details [if yes]		
	Note: Rather than DVCs, Canada has in place reporting requirements whereby emust indicate details of their permit usage including the quantity of the specific exported and their destination.	_	ers
	6.12 When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery?		
	Marking at import		
ITI 8b	6.13 Does your country require that SALW imported into your country be marked at the time of import?		
	Firearms Marking Regulations that were scheduled to come into effect on December 1, 2010 were deferred to December 1, 2012, to provide sufficient time to examine additional marking options not previously considered.		
	[if no, go to 6.14]		
	6.13.1 Who is required to mark the SALW?		
	6.13.2 What information is included in the marking on import (check relevant l	boxes)	?
	a) Country of import		
	b) Year of import		
	c) Other;		
	[if other, please explain]		
	6.13.3 Are there exceptions to the requirement to mark imported SALW?		

Sources	Question	Yes	No
	6.13.3.1 If so, describe.		
	6.13.4 If marked SALW imported into your country do not bear a a <i>unique</i> marking when they arrive, does your country require that they be given such a marking?	; <u> </u>	
	6.13.4.1 Details; [if yes]		
	Record Keeping		
PoA II.9; ITI 12	6.14 Does your country require that exporters and importers of SALW keep records of their activities?		
	[if no, go to 6.15]		
	6.14.1 What information must be recorded (check relevant boxes)?		
	a) Quantity of SALW traded		
	b) Type or model of SALW traded		
	c) Markings appearing on transferred SALW		
	d) Transactions		
	i) Identity of buyer/seller		
	ii) Country SALW are to be delivered to or purchased from		
	iii)Date of delivery		
	e) Other;	\boxtimes	
	For exporters of SALWs the following information must be recorded and kept: quantity, type or model, transactions, identity of buyer and country to which the SALW are to be delivered.		
	6.14.2 How long must records of transfers be kept?		
	a) Indefinitely		
	b) 30 years		
	c) Other;	\boxtimes	
	Records must be kept for 7 years by the exporter. The Department of Foreign Affairs and International Trade retains their export records indefinitely.		
	Actions taken during the reporting period		
PoA II.6	6.15 During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)		
	6.15.1 Details.		
	No prosecutions have taken place under the Export and Import Permits Act dur review period.	ing thi	s

Sources	Question	Yes	No
	International assistance		
PoA III.6	7. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW? [if no go to 8]		
	7.1 What kind of assistance do you require?		
	7.2 Has your country developed a project proposal for assistance?		

Section 4: Brokering

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.14	8. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW?		
	While there is no specific Canadian legislation on the brokering of SALW, regulations made under Canada's <i>Special Economic Measures Act</i> contain, in some instances, measures related to the brokering of arms, including SALW. Canada also implement decisions of the UN Security Council, including decisions that impose arms embargoes Such regulations are made under Canada's <i>United Nations Act</i> and, where the Securit Council has so decided, would include measures on brokering in SALW.	s s.	
	Where a person proposes to export SALW from Canada, the provisions of the <i>Export</i> and <i>Import Permits Act</i> apply. These include requirements to obtain a permit to expor		

goods or technology on the Export Control List or to an Area Control List destination. In addition, strict controls exist with respect to the export of automatic firearms. Such items may only be exported (with a permit) to countries on Canada's Automatic Firearms Country Control List.

Firearms in Canada are regulated under the *Criminal Code of Canada* and/or the *Firearms Act*. Canadian businesses dealing with firearms and ammunition must have a business licence, and are subject to the full regulatory regime governing firearms businesses.

8.1 List laws and/or administrative procedures regulating SALW brokering in your country.

The following Acts do not deal exclusively with the regulation of SALW brokering but contain measures or have regulations related to it:

Special Economic Measures Act

United Nations Act

Export and Import Permits Act

Defence Production Act

Firearms Act

Criminal Code of Canada

8.1.1 Are those laws and procedures part of the national arms export control system?

Some

8.2 Does your country require registration of SALW brokers?

Not every individual who buys or sells SALW must be registered as a broker. However, if the SALW that are the subject of a brokering arrangement come into the possession of a business on Canadian soil, the principals would be registered as part of the firearms business licensing requirements.

In addition, persons who conduct business in Canada that involves the examination, possession, and/or transfer of controlled goods and/or controlled technologies must be registered with Canada's Controlled Goods Program in order to comply with Canada's Defence Producion Act (DPA) and Controlled Goods Regulations (CGR). The definition of controlled goods includes prohibited firearms as defined in paragraph (c) of the definition "prohibited firearm" in subsection 84(1) of Canada's Criminal Code, smooth-bore weapons with a calibre of 20 mm or more, weapons or armaments with a calibre greater than 12.7 mm (calibre 0.50 inches), and any weapon specifically designed for military use and listed in the Schedule (section 35) of the Defence Production Act (DPA).

Sources	Question			Yes	No
	8.3 Does your country rectransaction?	quire	a licence, permit or other authorisation for each brokerin	g 🗌	\boxtimes
	[if no, go to 8.4]				
GGE Report para 44	8.3.1 Are such appli for approval on a case		ns for a licence, permit or other authorisation considered -case basis?		
	a brokering transact	tion? if ye	ons to the requirement to hold a licence or authorisation for solutions; (e.g. if the transaction is on behalf of the police or officials)	or 🗌	
	8.3.3 What are the	criter	ia for granting a licence, permit or other authorization?		
	8.4 Does your country h submitted by the broker?	ave r	neasures to validate the authenticity of documentation		
	N/A 8.4.1 Describe thos	e me	asures. [if yes]		
	without a licence or authorized terms of a licence or auto 8.6 Does your country a	noris horis llow	your country to engage in a SALW brokering transaction ation, or to do so in a manner that is in contradiction to the sation, in your country? for sharing with other States such information as the vocation of registration?		
			te activities that are closely associated with the brokering		
			e following activities are regulated when undertaken in okering of SALW (check relevant boxes)?		
		a)	Acting as dealers or agents	\boxtimes	
	•	b)	Providing technical assistance	\boxtimes	
		c)	Training		
		d)	Transport	\boxtimes	
		e)	Freight forwarding	\boxtimes	
	:	f)	Storage	\boxtimes	
		g)	Finance		
		h)	Insurance		
	:	i)	Maintenance	\boxtimes	
		j)	Security		
		k)	Other services;		

[if other, please explain]

Sources	Question	Yes	No
	8.8 What penalties or sanctions does your country impose for illegal brokering activities	?	
	Actions taken during the reporting period		
	8.9 During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)?8.9.1 Details. [if yes]		
	International assistance		
PoA III.6	9. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to regulate SALW brokering? [if no go to 10] 9.1 What kind of assistance do you require?		
	9.2 Has your country developed a project proposal for assistance?		

Section 5: Stockpile managment

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.17	10. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW?		
	With respect to the Canadian Armed Forces, measures under the National		

With respect to the Canadian Armed Forces, measures under the National Defence Security Policy (NDSP) describe the minimum safeguards and procedures for the security of small arms, ammunition and explosives when held

by Regular Force, Reserve Force, Cadet units or other organizations of the Department of National Defence.

The NDSP, much the same as the Firearms Act, identifies the procedures that must be followed by the Canadian Forces for the storage and transport of small calibre weapons along with those that are restricted (semi-automatic firing) or prohibited (fully automatic firing) under the Criminal Code of Canada. The policy and procedures allow for the immediate response of security forces in the event of a security breach. The NDSP in many areas far exceeds the requirements of the Firearms Act, including through stricter regulations on access to storage facilities. In addition, the physical security of the weapons lockup/facility is surveyed a minimum of once a year by security personnel. Any deficiencies are immediately corrected. The policy also details the security requirements for when SALW are in transit for training or any other reason and by several different modes of transport. The NDSP also details policy regarding disciplinary and administrative responses to breaches of security.

Inventory verifications of SALW are subjected to physical accounting on a quarterly basis. Physical accounting means the actual verification of the asset by type, quantity and serial number against inventory records. Physical accounting of weapons is also required when weapons are used (e.g. range exercises, displays, etc.) immediately upon termination of the event concerned. Long-term storage requires weapons to be packed and sealed in a specially designed tri-wall by a team of three personnel who must complete inventory documents consisting of:

- (a) the serial number of the tri-wall;
- (b) the serial number, type and number of weapons contained in the triwall;
- (c) confirmation that the tri-wall has been sealed with four seals bearing an identical serial number and a record of that number; and,
 - (d) witnessing signatures of all three personnel.

A quarterly verification of the sealed tri-walls shall be conducted by a team of three personnel to ensure that seals are intact; that the serial numbers of the tri-wall and seals correspond to the inventory records; and that the tri-walls are sound. An annual physical accounting of the contents of one per cent of the total number of tri-walls or a minimum of two, whichever is greater, shall be conducted. A physical accounting must also be conducted whenever a temporary or permanent change in the account holder or custodian occurs.

Any surplus SALW are secured within special depots and are accounted for in the same way as those that are in use. Their verification is in line with the verification of all SALW. The security of these assets allows for the immediate response of security forces in instances of break-ins or attempted break-ins to storage areas.

 \boxtimes

 \boxtimes

PoA II.17

10.1 If so, which of the following provisions are included in these standards and procedures (check relevantboxes)?

- a) Appropriate locations for stockpiles
- b) Physical security measures

Sources	Question	Yes	No
	c) Control of access to stocks	\boxtimes	
	d) Inventory management and accounting control	\boxtimes	
	e) Staff training	\boxtimes	
	f) Security, accounting and control of SALW held or transported by operational units or authorised personnel	\boxtimes	
	g) Procedures and sanctions in the event of theft or loss	\boxtimes	
	h) Other;		
	[if other, please explain]		
	Surplus		
PoA, II.18	10.2 Are there systems in place to conduct reviews of SALW stocks held by armed forces, police and other authorised entities to identify surplus or obsolete SALW 10.3 How often/frequently are these reviews conducted?		
	As needed.		
	10.4 When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?		
	a) Officially declare as surplus	\boxtimes	
	b) Take out of service	\boxtimes	
	c) Record by type, lot, batch, and serial number	\boxtimes	
	d) Store separately	\boxtimes	
	e) Other;		
	[if other, please explain]		
PoA, II.18	10.5 In disposing of the surplus stocks, which of the following methods may be used (check relevantboxes)?		
	a) Destruction	\boxtimes	
	b) Sale to another State	\boxtimes	
	c) Donation to another State	\boxtimes	
	d) Transfer to another state agency	\boxtimes	
	e) Sale to civilians		
	f) Sale or transfer to legal entities (e.g. museums, private security companies,	\boxtimes	
	etc.)		
	g) Other;		
	Firearms that come under the control of public agents (Canada Border Services Agency officers, Natural Resources officers, federal, provincial and municipal police, Fisheries and Oceans officers) through seizure, abandonment or forfeiture are deemed to be protected firearms. Public agencies are responsible for managing their protected firearms inventories. Clear requirements for the disposal of surplus firearms are established under the <i>Public Agents Firearms Regulations</i> . Surplus firearms held by public agencies must be destroyed, with		

limited exceptions for public purposes (scientific, research or educational purpose, or for preservation as a historical firearm). Public agencies are not permitted to transfer surplus firearms to individuals or businesses pursuant to the Regulations.

Firearms are disposed of by the RCMP or the Chief Firearms Officer in the province where they were seized, abandoned or forfeited or, in some instances, public agencies can transfer protected firearms to other public agencies. Some of these firearms are also entered on the RCMP Canadian Integrated Ballistics Identification System (CIBIN) to be checked against unsolved criminal cases.

SALW identified as surplus to the Canadian Armed Forces are either sold to the militaries of allied nations, transferred to approved public agencies or destroyed. Military SALW designated for destruction by Canada's Department of National Defence are destroyed by process of smelting under the supervision of the commanding officer of the Canadian Forces Supply Depot. The smelting of weapons and their repair parts are carried out at local foundries under contract. Occasionally, small arms or light weapons may be demilitarized and donated to museums.

The RCMP currently destroys all surplus firearms as a matter of policy. A record of all seized firearms that are destroyed is kept and the resulting information is made available to foreign police within the context of specific investigations.

PoA, II.19	methods are used (check relevant boxes)?		
	i) Burning or melting	\boxtimes	
	ii) Open-pit detonation		
	iii) Cutting/Schredding	\boxtimes	
	iv) Bending/crushing	\boxtimes	
	v) Dumping at sea		
	vi) Burial on land		
	vii) Other;		
	[if other, please explain]		
	Actions taken during the reporting period		
PoA II.19	10.6 During the reporting period, has your country destroyed surplus stocks?		
	[if no, go to 11]		
	10.6.1 How many SALW were destroyed? Include details on destruction.		

2010

Deemed suplus and destroyed by the Department of National Defence - 8898

Sources	Question	Yes	No
	Destroyed pursuant to Canada's Public Agents Firearms Regulations – 26694 2011 Deemed suplus and destroyed by the Department of National Defence – 3004 Destroyed pursuant to Canada's Public Agents Firearms Regulations - 29263 10.6.2 Were any of these destruction activities carried out in public? 10.6.3 Any further comments regarding destruction? International assistance 11. Does your country wish to request assistance in developing standards and procedur stockpile management? [if no, go to 12] 11.1 What kind of assistance do you require? 11.2 Has your country wish to request assistance in developing capacity for the destruction of weapons? [if no, go to 13] 12.1 What kind of assistance do you require? 12.2 Has your country developed a project proposal for assistance? Confiscation, seizure and collection Question Confiscation and seizure 13. During the reporting period, has your country found, seized or confiscated and seizure and collection of the seizure and collection of the seizure and confiscated and seizure and collection of the seizure and collection o		
	<u>2011</u>		
	Deemed suplus and destroyed by the Department of National Defence – 3004		
	Destroyed pursuant to Canada's Public Agents Firearms Regulations - 29263		
PoA II.20	10.6.2 Were any of these destruction activities carried out in public?		\boxtimes
	10.6.3 Any further comments regarding destruction?		
	International assistance		
PoA II.29; III.6	11. Does your country wish to request assistance in developing standards and procedures on		\boxtimes
	11.1 What kind of assistance do you require?		
	11.2 Has your country developed a project proposal for assistance?		
PoA III.6; 14	12. Does your country wish to request assistance in developing capacity for the destruction of weapons? [if no, go to 13]		\boxtimes
	12.1 What kind of assistance do you require?		
	12.2 Has your country developed a project proposal for assistance?		
Section 6: Con	fiscation, seizure and collection	_	
Fuentes	Question	Yes	No
	Confiscation and seizure		
PoA II.23 a	13. During the reporting period, has your country found, seized or confiscated any SALW under its jurisdiction?		
	[if no, go to 14]		

Fuentes	Question		Yes	No
PoA II.23a	13.1 H	ow many SALW were found, seized or confiscated?		
	<u>2010</u>			
	45550			
	<u>2011</u>			
	48904			
PoA II.16		That action was taken with respect to the SALW found, seized or confiscal relevant boxes)?	ted	
	a)	Stored securely pending further action		
	b)	Marked		
	c)	Registered or recorded		
	d)	Destroyed	\boxtimes	
	e)	Other;		
	[if other, p	please explain]		
	Collection	1		
	14. During	the reporting period, did your country collect any SALW?	\boxtimes	
	[if no, go to	o 16]		
	14.1 W	hat was the nature of the collection exercise		
	a)	Buyback programme for civilian-held SALW		
	b)	Weapons amnesty for civilian-held SALW		
PoA II.21	c)	Disarmament, Demobilization & Reintegration (DDR)		
	d)	Weapons for Development (WfD) programme		
	14.2 H	ow many SALW were collected?		
	2010	- 22923		
	2011	- 22868		
	14.3 W boxes)	That action was taken with respect to the SALW collected (check relevant?		
	a)	Stored securely pending further action	\boxtimes	
	b)	Marked		
	c)	Registered or recorded		
	d)	Destroyed	\boxtimes	

Fuentes	Question	Yes	No
	e) Other;		
	[if other, please explain]		
PoA II.23a	15. How many of the SALW found, seized, confiscated or collected, as reported in Questions 13.1 and 14.2, were destroyed?		
	2010 - 19628		
	2011 - 9285		
	International assistance		
PoA III.6	16. Does your country wish to request assistance in building capacity for confiscation and seizure of the illicit SALW?	n 🗌	
	[if no, go to 17]		
	16.1 What kind of assistance do you require? (If appropriate, use the Matching Needs Toolbox)		
	16.2 Has your country developed a project proposal for assistance?		П

Section 7: Marking and record keeping

Sources	Question	Yes	No
	Marking		
PoA II.8	17. Does your country enforce measures to prevent the manufacture, stockpiling, transfer and possession of any unmarked or inadequately marked SALW?		
	17.1 Details. [if yes]		
ITI 8d	18. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?		
	[if no, go to 19]		
	18.1 Describe the markings that are applied to government-held stocks.		
ITI 8c	All small arms and light weapons purchased by the Department of National Defence (DND) are marked with a unique serial number applied by the manufacturer. The formats of serial numbers are established under the terms of each production contract. The serial numbers for newly purchased or manufactured firearms for DND from Colt Canada, for example, follow a standard format: two digits for the year of manufacture, two letters indicating the model and additional digits for sequential numerical designation of the weapon type, starting at 00001 for the year of manufacture. If applicable, the small arm is clearly identified as a Canadian Forces Weapon with its calibre also marked. Each firearm is also marked Made in Canada, with the logo of Colt Canada.		
	The firearms of Canada's national police service, the Royal Canadian Mounted Police (RCMP), are marked with the manufacturer's information and a unique serial number. Where large qualities of firearms are purchased, the firearms are also marked as belonging to the RCMP.		
	18.2 When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks?		
ITI 8e	19. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?		

Question Sources Yes No19.1 Details. Record-keeping PoA II.9 20. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory? [if no, go to 21] 20.1 What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licences granted, sales to other States, SALW held by State agencies such as the armed forces etc)? The Canadian Firearms Information System (CFIS) contains data on licences, authorizations, prohibition orders and registration certificates for restricted and prohibited firearms, and other information collected under the authority of the Firearms Act. With the coming into force of Canada's Public Agents Firearms Regulations on October 31, 2008, firearms possessed or seized by public service agencies and public agents, with the exception of those held by the Canadian Forces, are required to be reported to the Registrar of Firearms. Restricted and prohibited firearms newly manufactured in Canada must be registered in CFIS. The Canadian Forces have a recording system based on serial numbers for small arms. Small arms' tracking is maintained in conformity with DND's Special Materials Control procedures. Weapons are verified by serial number quarterly by the account holder and a witness not associated with the account to maintain 100% accountability. ITI 12 a.b 20.2 How long does the government keep such records? [please detail] All records associated with the export of firearms and all import permits are stored indefinitely within their respective electronic permitting systems. All supporting documents associated with the import of firearms not stored within the electronic permitting system are retained for seven years. As per the Treasury Board of Canada policy on Information Management and in accordance with the provisions of the National Archives of Canada Act, the Canadian Forces' records are kept indefinitely and transferred to Library and Archives Canada 5 years after the last administrative action has been taken on the information in the record. ITI 13 \boxtimes 20.3 In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc) required to submit all records held by them to the government?

Sources	Question	Yes	No
	International assistance		
PoA III.6, ITI 27	21. Does your country wish to request assistance in building capacity for record-keeping?		\boxtimes
	[if no, go to 22]		
	21.1 What kind of assistance do you require?		
	21.2 Has your country developed a project proposal for assistance?		

Section 8: International tracing

Sources	Question	Yes	No
	Laws, regulations and administrative procedures		
PoA II.10;	22. Does your country have procedures in place to trace SALW?	\boxtimes	
ITI 14, 24	[if no, go to 23]		
	Tracing requests		
	22.1 Has your country ever issued an international tracing request regarding SALW?		
ITI 25; 31a	22.2 Which government agency is responsible for making a tracing request to another country?		
	Royal Canadian Mounted Police		
ITI 17	22.3 What information does the designated agency include in a tracing request? (check relevant boxes)		
	a) Circumstances under which the SALW was found	\boxtimes	
	b) Reasons why the SALW is considered to be illegal or illicit	\boxtimes	
	c) The intended use of the information being sought	\boxtimes	
	d) Any markings on the SALW	\boxtimes	
	e) Type/calibre of SALW	\boxtimes	
	f) Other;	\boxtimes	
	[if other, please explain]Location of seizure, reason for enquiry (offence, etc),		
ITI 15	22.4 When receiving information related to SALW as a result of your country's tracing request, does your country have procedures in place to ensure that all restrictions placed on its use are respected, and the confidentiality of such information is guaranteed?		
	Responses for tracing requests		
	22.5 Which government agency is responsible for responding to a tracing request from another country?		
	Royal Canadian Mounted Police		

22.6 During the reporting period, how many tracing requests did your country

receive?

2010 - 419 International Traces

2011 - 764 International Traces

ITI 22	22.7 During the reporting period, did your country delay, restrict or refuse tracing requests?	
	[if no, go to 22.8]	
	Delayed;	
	[if so] On what grounds?	
	i) Release of the information would compromise ongoing criminal investigations	
	ii) Violate legislation providing for the protection of confidential information	
	iii) Requesting State cannot guarantee the confidentiality of the information	
	iv) Reasons of national security consistent with the Charter of the United Nations	
	Restricted	
	[if so] On what grounds?	
	i) Release of the information would compromise ongoing criminal investigations	
	ii) Violate legislation providing for the protection of confidential information	
	iii) Requesting State cannot guarantee the confidentiality of the information	
	iv) Reasons of national security consistent with the Charter of the United Nations	

[if so] On what grounds?

Refused

1) Release of the information would compromise ongoing criminal	
investigations	
ii) Violate legislation providing for the protection of confidential information	

iii) Requesting State cannot guarantee the confidentiality of the information

iv) Reasons of national security consistent with the Charter of the United Nations

Sources	Question	Yes	No
	Cooperation with INTERPOL		
PoA II.37; ITI 33	23. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL)? [if no, go to 24]		
	23.1 If so, in which areas?		
ITI 35a	a) Facilitation of tracing operations conducted within the framework of the ITI.	\boxtimes	
ITI 35b	b) Investigations to identify and trace illicit SALW.	\boxtimes	
ITI 35c	c) Building national capacity to initiate and respond to tracing requests.	\boxtimes	
PoA III.9	23.2 Does your country use the INTERPOL's Firearms Tracing System (formerly known as IWeTS) for tracing SALW?		
	International assistance		
PoA II.36; III.6;ITI 27	24. Does your country wish to request assistance in developing procedures to trace SALW?		\boxtimes
	[if no, go to 25]		
	24.1 What kind of assistance do you require?		
	24.2 Has your country developed a project proposal for assistance?		
PoA III.10; ITI 28	25. Has your country considered providing assistance to examine technologies to improve the tracing and detection of illicit SALW?		
111 20	[if no go to 26]		
	25.1 Details		

Section 9: International cooperation & assistance

Sources	Question	Yes	No
	Assistance requested/received/provided		
PoA III.3, 6	26. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI?		
	[if no proceed to end of Reporting Tool]		
	26.1 If so, in what areas (check relevant boxes)?		
	a. Establishing/designating National Coordination Agency/National Point of Contact		
	a) Nature of the assistance:		
	i) financial; Requested/Received/Provided (select appropriate)		
	ii) technical; Requested/Received/Provided (select appropriate)		
	b) Amount of assistance provided/received (if financial):		
	c) Description of the assistance activity:		
	d) Duration of the assistance provided/received:		
	e) State(s) or organization(s) that provided/received the assistance:		

ources	Question	Yes	No
	b. Disarmament, demobilization and reintegration (DDR)	\boxtimes	
	a) Nature of the assistance:		
	i) financial; Requested/Received/Provided (select appropriate)		
	ii) technical; Requested/Received/Provided (select appropriate) Provided		
	b) Amount of assistance provided/received (if financial):		
	c) Description of the assistance activity:		
	Training and mentoring of local/national police officers, including DDR. Thirteen police officers were deployed through the Canadian Police Arrangement.		
	d) Duration of the assistance provided/received:		
	January 2010 – December 2011. Ongoing-continuous rotations, average length of deployment is one year		
	e) State(s) or organization(s) that provided/received the assistance:		

UN Mission in South Sudan (UNMISS)

c. Capacity-building and training on SALW issues

- a) Nature of the assistance:
 - i) financial; Requested/Received/Provided (select appropriate) **Provided**
 - ii) technical; Requested/Received/Provided (select appropriate)

Provided

- b) Amount of assistance provided/received (if financial):
- 1) \$160,000
- 2) \$547,566
- 3) \$1,114,060
- c) Description of the assistance activity:
- 1) Canada provided assistance towards the development of regional positions on the Arms Trade Treaty in the CARICOM and MERCOSUR sub-regions, in collaboration with civil society organizations.
- 2) Canada provided assistance for three inter-institutional training courses in Jamaica and Trinidad and Tobago for combating illicit firearms trafficking in the Caribbean. The courses were aimed at strengthening the capacities of law enforcement officials in combating illicit firearms trafficking at the national and sub-regional levels.
- 3) Canada funded various activities in Bolivia, Colombia, Ecuador, and Peru to facilitate the effective implementation of national, regional and international firearms instruments; strengthen national firearms control measures; enhance law enforcement community capacities; and support preventative activities for the trafficking of SALW.
- 4) Canada, through its Department of National Defence, provided a technical expert for a U.S.-led physical security and stockpile management (PSSM) seminar and site assessment in Paraguay and for a Swiss-led PSSM assessment in Moldova.
 - d) Duration of the assistance provided/received:
 - 1) 2010-2012
 - 2) 2011 2012
 - 3) 2010 2011
 - 4) up to two weeks
 - e) State(s) or organization(s) that provided/received the assistance:
 - 1) Project Ploughshares
 - 2) United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean (UNLiREC)
 - 3) UNLIREC
 - 4) Paraguay and Moldova

Sources	Question	Yes	No	_
	d. Law enforcement			
	a) Nature of the assistance:			
	i) financial; Requested/Received/Provided (select appropriate)			
	ii) technical; Requested/Received/Provided (select appropriate) Provided			
	b) Amount of assistance provided/received (if financial):			
	c) Description of the assistance activity:			
	Canada provided training on firearms investigations and identification to law enforcement agencies in the Caribbean and Central America.			
	d) Duration of the assistance provided/received:			
	Three two-week sessions			
	e) State(s) or organization(s) that provided/received the assistance:			
	Law enforcement agencies in the Caribbean and Central America			
	e. Customs and borders			
	a) Nature of the assistance:			
	i) financial; Requested/Received/Provided (select appropriate)			
	ii) technical; Requested/Received/Provided (select appropriate)			
	b) Amount of assistance provided/received (if financial):			
	c) Description of the assistance activity:			
	d) Duration of the assistance provided/received:			
	e) State(s) or organization(s) that provided/received the assistance:			

Sources	Question	Yes	No	
ources	f. Action-oriented research	Nes		
			Ш	
	a) Nature of the assistance:			
	 i) financial; Requested/Received/Provided (select appropriate) Provided 			
	ii) technical; Requested/Received/Provided (select appropriate)			
	b) Amount of assistance provided/received (if financial):			
	\$250,000			
	c) Description of the assistance activity:			
	Canada provided support for the production of the 2009, 2010, 2011 and 2012 Small Arms Survey yearbooks and a study on gangs and armed violence in Central America and the Caribbean.			
	d) Duration of the assistance provided/received:			
	2009 - 2012			
	e) State(s) or organization(s) that provided/received the assistance:			
	Small Arms Survey			
	g. Children/youth			
	a) Nature of the assistance:			
	i) financial; Requested/Received/Provided (select appropriate)			
	ii) technical; Requested/Received/Provided (select appropriate)			
	b) Amount of assistance provided/received (if financial):			
	c) Description of the assistance activity:			
	d) Duration of the assistance provided/received:			
	e) State(s) or organization(s) that provided/received the assistance:			

Sources	Question	Yes	No
	h. Awareness-raising		
	a) Nature of the assistance:		
	i) financial; Requested/Received/Provided (select appropriate)		
	ii) technical; Requested/Received/Provided (select appropriate)		
	b) Amount of assistance provided/received (if financial):		
	c) Description of the assistance activity:		
	d) Duration of the assistance provided/received:		
	e) State(s) or organization(s) that provided/received the assistance:		
	i. Organized crime, drug trafficking and terrorisma) Nature of the assistance:	Ш	Ш
	i) financial; Requested/Received/Provided (select appropriate)		
	ii) technical; Requested/Received/Provided (select appropriate)		
	b) Amount of assistance provided/received (if financial):		
	c) Description of the assistance activity:		
	d) Duration of the assistance provided/received:		
	e) State(s) or organization(s) that provided/received the assistance:		

Sources Question Yes No

j Other □

Specify; Development of best practices; SALW clearance and destruction;

Specify; Development of best practices; SALW clearance and destruction; security sector reform

- a) Nature of the assistance:
- i) financial; Requested/Received/Provided (select appropriate)

Provided

ii) technical; Requested/Received/Provided (select appropriate)

Provided

- b) Amount of assistance provided/received (if financial):
- 1) \$1.6 million
- 2)217,000
- c) Description of the assistance activity:
 - 1) Canada providing funding for identification, clearance and destruction of conventional weapons (including MANPADS) in Libya.
 - 2) Canada provided support for the development of International Small Arms Control Standards (ISACS), along the lines of the standards the UN has developed in the areas of mine action and DDR.
 - 3) Canada provided police expertise, training and advice to police services, in the context of integrated peace operations, so that local police forces could carry out their policing responsibilities in accordance with democratic principles and international human rights conventions. 172 police officers were deployed through the Canadian Policing Arrangement.
- d) Duration of the assistance provided/received:
 - 1) 2011-2012
 - 2) 2010-2011
 - 3) January 2010 December 2011. Ongoing-continuous rotations, average length of deployment is one year.
- e) State(s) or organization(s) that provided/received the assistance:
- 1 Project was implemented through the U.S. Department of State
- 2) UN CASA
- 3) Afghanistan (EUPOL/NTM-A/CSTCA), Cote d'Ivoire (UNOCI), Sudan (UNAMID), Congo (MONUSCO), Geneva Centre for the Democratic Control of Armed Forces (DCAF), Haiti (MINUSTAH), Haiti Reconstruction, Special Tribunal for Lebanon, Palestinian Territories (EU COPPS), Guatemala, Kosovo (EULEX)